

# Proxy Voting Report

For the period July 1, 2022 to June 30, 2023

PIMCO Canadian Total Return Bond Fund

PIMCO Flexible Global Bond Fund (Canada)

**PIMCO Monthly Income Fund (Canada)** 

PIMCO Investment Grade Credit Fund (Canada)

PIMCO Low Duration Monthly Income Fund (Canada)

PIMCO Diversified Multi-Asset Fund (Canada)

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): PIMCO CANADIAN TOTAL RETURN BOND FUND

## **Newgate Funding Plc Series 2007-1**

Meeting Date: 09/28/2022

**Record Date:** 

**Country:** United Kingdom **Meeting Type:** Bondholder

Ticker: N/A

Primary Security ID: G64849BK0

Shares Voted: 200,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
	Meeting for Holders of Bonds with ISIN XS0287753775	Mgmt				
1	Approve Extraordinary Resolution as per Meeting Notice	Mgmt	For	Refer	For	

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): PIMCO FLEXIBLE GLOBAL BOND FUND (CANADA)

# **Nationwide Building Society**

Meeting Date: 07/14/2022 **Record Date:** 07/12/2022 Primary Security ID: G6S178103 Country: United Kingdom

Meeting Type: Annual

Ticker: NBS

#### Shares Voted: 0

					Snares voted: 0
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Refer	Do Not Vote
2	Approve Remuneration Report	Mgmt	For	Refer	Do Not Vote
3	Approve Remuneration Policy	Mgmt	For	Refer	Do Not Vote
4	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	Refer	Do Not Vote
5	Authorise the Society to Apply a Maximum Ratio of the Variable to Fixed Components of Total Remuneration for Material Risk Takers of 2:1	Mgmt	For	Refer	Do Not Vote
6	Elect Debbie Crosbie as Director	Mgmt	For	Refer	Do Not Vote
7	Elect Alan Keir as Director	Mgmt	For	Refer	Do Not Vote
8	Elect Gillian Riley as Director	Mgmt	For	Refer	Do Not Vote
9	Re-elect Mai Fyfield as Director	Mgmt	For	Refer	Do Not Vote
10	Re-elect Albert Hitchcock as Director	Mgmt	For	Refer	Do Not Vote
11	Re-elect Debbie Klein as Director	Mgmt	For	Refer	Do Not Vote
12	Re-elect Kevin Parry as Director	Mgmt	For	Refer	Do Not Vote
13	Re-elect Tamara Rajah as Director	Mgmt	For	Refer	Do Not Vote
14	Re-elect Chris Rhodes as Director	Mgmt	For	Refer	Do Not Vote
15	Re-elect Phil Rivett as Director	Mgmt	For	Refer	Do Not Vote
16	Re-elect Gunn Waersted as Director	Mgmt	For	Refer	Do Not Vote

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): PIMCO MONTHLY INCOME FUND (CANADA)

# **Nationwide Building Society**

Meeting Date: 07/14/2022 Record Date: 07/12/2022 Primary Security ID: G6S178103 **Country:** United Kingdom **Meeting Type:** Annual

Ticker: NBS

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Refer	Do Not Vote
2	Approve Remuneration Report	Mgmt	For	Refer	Do Not Vote
3	Approve Remuneration Policy	Mgmt	For	Refer	Do Not Vote
4	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	Refer	Do Not Vote
5	Authorise the Society to Apply a Maximum Ratio of the Variable to Fixed Components of Total Remuneration for Material Risk Takers of 2:1	Mgmt	For	Refer	Do Not Vote
6	Elect Debbie Crosbie as Director	Mgmt	For	Refer	Do Not Vote
7	Elect Alan Keir as Director	Mgmt	For	Refer	Do Not Vote
8	Elect Gillian Riley as Director	Mgmt	For	Refer	Do Not Vote
9	Re-elect Mai Fyfield as Director	Mgmt	For	Refer	Do Not Vote
10	Re-elect Albert Hitchcock as Director	Mgmt	For	Refer	Do Not Vote
11	Re-elect Debbie Klein as Director	Mgmt	For	Refer	Do Not Vote
12	Re-elect Kevin Parry as Director	Mgmt	For	Refer	Do Not Vote
13	Re-elect Tamara Rajah as Director	Mgmt	For	Refer	Do Not Vote
14	Re-elect Chris Rhodes as Director	Mgmt	For	Refer	Do Not Vote
15	Re-elect Phil Rivett as Director	Mgmt	For	Refer	Do Not Vote
16	Re-elect Gunn Waersted as Director	Mgmt	For	Refer	Do Not Vote

## **Eurobank Ergasias Services & Holdings SA**

**Meeting Date:** 07/21/2022 **Record Date:** 07/15/2022

**Country:** Greece **Meeting Type:** Annual Ticker: EUROB

Primary Security ID: X2321W101

**Shares Voted:** 20,653,441

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Approve Financial Statements and Income Allocation	Mgmt	For	For	For
2	Approve Offsetting of Accumulated Losses with Legal Reserves and Share Premium Account	Mgmt	For	For	For
3	Approve Management of Company and Grant Discharge to Auditors	Mgmt	For	For	For
4	Approve Auditors and Fix Their Remuneration; Amend Tripartite Relationship Framework Agreement with the Hellenic Financial Stability Fund	Mgmt	For	For	For
5	Approve Remuneration of Directors and Members of Committees	Mgmt	For	For	For
6	Advisory Vote on Remuneration Report	Mgmt	For	For	For
7	Amend Suitability Policy for Directors	Mgmt	For	For	For
8	Approve Type, Composition, and Term of the Audit Committee	Mgmt	For	For	For
9	Receive Audit Committee's Activity Report	Mgmt			
10	Receive Report from Independent Non-Executive Directors	Mgmt			

## **Newgate Funding Plc Series 2006-2**

Meeting Date: 09/23/2022

Country: United Kingdom

**Record Date:** 

Meeting Type: Bondholder

Primary Security ID: G64849AQ8

Ticker: N/A

**Shares Voted:** 9,550,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for Holders of Bonds with ISIN XS0257992676	Mgmt			
1	Approve Extraordinary Resolution as per Meeting Notice	Mgmt	For	Refer	For

## **Credit Suisse Group AG**

Meeting Date: 11/23/2022

Country: Switzerland

**Record Date:** 

Meeting Type: Extraordinary

Shareholders

Primary Security ID: H3698D419

Ticker: CSGN

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve CHF 18.5 Million Share Capital Increase without Preemptive Rights for Private Placement	Mgmt	For	For	Do Not Vote
2	Approve CHF 70.7 Million Ordinary Share Capital Increase with Preemptive Rights	Mgmt	For	For	Do Not Vote
3.1	Additional Voting Instructions - Shareholder Proposals (Voting)	Mgmt	For	Against	Do Not Vote
3.2	Additional Voting Instructions - Board of Directors Proposals (Voting)	Mgmt	For	Against	Do Not Vote

## **Landmark Mortgage Securities No.2 Plc**

Meeting Date: 12/07/2022

**Record Date:** 

Country: United Kingdom

Meeting Type: Bondholder

Primary Security ID: G53709AD6

Ticker: N/A

Shares Voted: 7,000,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Meeting for Holders of Bonds with ISIN XS0287192131	Mgmt			
1	Approve Extraordinary Resolution as per Meeting Notice	Mgmt	For	Refer	For

## **Credit Suisse Group AG**

**Meeting Date:** 04/04/2023

Country: Switzerland

**Record Date:** 

Meeting Type: Annual

Ticker: CSGN

Primary Security ID: H3698D419

Shares Voted: 0

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	Do Not Vote
1.2	Approve Remuneration Report	Mgmt	For	For	Do Not Vote
2	Approve Discharge of Board and Senior Management, excluding the Supply Chain Finance Matter	Mgmt	For	Against	Do Not Vote

# **Credit Suisse Group AG**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Allocation of Income and Dividends of CHF 0.05 per Share from Capital Contribution Reserves	Mgmt	For	For	Do Not Vote
4	Approve Cancellation of Conditional and Conversion Capital Authorizations	Mgmt	For	For	Do Not Vote
5.1	Amend Corporate Purpose	Mgmt	For	For	Do Not Vote
5.2	Amend Articles Re: Shares; Share Register; Transfer of Shares	Mgmt	For	For	Do Not Vote
5.3	Approve Creation of Capital Band within the Upper Limit of CHF 165.1 Million and the Lower Limit of CHF 155.1 Million with or without Exclusion of Preemptive Rights	Mgmt	For	For	Do Not Vote
5.4	Amend Articles of Association (Incl. Approval of Virtual-Only or Hybrid Shareholder Meetings)	Mgmt	For	For	Do Not Vote
5.5	Amend Articles Re: Board of Directors; Compensation; External Mandates for Members of the Board of Directors and Executive Committee	Mgmt	For	For	Do Not Vote
6	Approve Climate Strategy Report	Mgmt	For	For	Do Not Vote
7.1.a	Reelect Axel Lehmann as Director and Board Chair	Mgmt	For	For	Do Not Vote
7.1.b	Reelect Mirko Bianchi as Director	Mgmt	For	For	Do Not Vote
7.1.c	Reelect Iris Bohnet as Director	Mgmt	For	For	Do Not Vote
7.1.d	Reelect Clare Brady as Director	Mgmt	For	For	Do Not Vote
7.1.e	Reelect Christian Gellerstad as Director	Mgmt	For	For	Do Not Vote
7.1.f	Reelect Keyu Jin as Director	Mgmt	For	For	Do Not Vote
7.1.g	Reelect Shan Li as Director	Mgmt	For	For	Do Not Vote
7.1.h	Reelect Seraina Macia as Director	Mgmt	For	For	Do Not Vote
7.1.i	Reelect Blythe Masters as Director	Mgmt	For	For	Do Not Vote
7.1.j	Reelect Richard Meddings as Director	Mgmt	For	For	Do Not Vote
7.1.k	Reelect Amanda Norton as Director	Mgmt	For	For	Do Not Vote
7.1.l	Reelect Ana Pessoa as Director	Mgmt	For	For	Do Not Vote
7.2.1	Reappoint Iris Bohnet as Member of the Compensation Committee	Mgmt	For	For	Do Not Vote
7.2.2	Reappoint Christian Gellerstad as Member of the Compensation Committee	Mgmt	For	For	Do Not Vote

# **Credit Suisse Group AG**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.2.3	Reappoint Shan Li as Member of the Compensation Committee	Mgmt	For	For	Do Not Vote
7.2.4	Reappoint Amanda Norton as Member of the Compensation Committee	Mgmt	For	For	Do Not Vote
8.1	Approve Remuneration of Directors in the Amount of CHF 13 Million	Mgmt	For	For	Do Not Vote
8.2.1	Approve Fixed Remuneration of Executive Committee in the Amount of CHF 34 Million	Mgmt	For	For	Do Not Vote
8.2.2	Approve Share-Based Transformation Awards for Members of the Executive Committee in the Amount of CHF 30.1 Million	Mgmt	For	Against	Do Not Vote
9.1	Ratify PricewaterhouseCoopers AG as Auditors	Mgmt	For	For	Do Not Vote
9.2	Designate Keller AG as Independent Proxy	Mgmt	For	For	Do Not Vote
10.1	Additional Voting Instructions - Shareholder Proposals (Voting)	Mgmt	None	Against	Do Not Vote
10.2	Additional Voting Instructions - Board of Directors Proposals (Voting)	Mgmt	For	Against	Do Not Vote

## **VICI Properties Inc.**

Meeting Date: 04/27/2023 Record Date: 03/01/2023 Country: USA
Meeting Type: Annual

Primary Security ID: 925652109

Ticker: VICI

**Shares Voted:** 2,644,716

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director James R. Abrahamson	Mgmt	For	For	For
1b	Elect Director Diana F. Cantor	Mgmt	For	For	For
1c	Elect Director Monica H. Douglas	Mgmt	For	For	For
1d	Elect Director Elizabeth I. Holland	Mgmt	For	For	For
1e	Elect Director Craig Macnab	Mgmt	For	For	For
1f	Elect Director Edward B. Pitoniak	Mgmt	For	For	For
1g	Elect Director Michael D. Rumbolz	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

# **Clear Channel Outdoor Holdings, Inc.**

Meeting Date: 05/03/2023 **Record Date:** 03/07/2023

Country: USA Meeting Type: Annual Ticker: CCO

Primary Security ID: 18453H106

**Shares Voted:** 6,079,121

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director John Dionne	Mgmt	For	For	For
1.2	Elect Director Lisa Hammitt	Mgmt	For	For	For
1.3	Elect Director Andrew Hobson	Mgmt	For	For	For
1.4	Elect Director Thomas C. King	Mgmt	For	For	For
1.5	Elect Director Joe Marchese	Mgmt	For	For	For
1.6	Elect Director W. Benjamin Moreland	Mgmt	For	For	For
1.7	Elect Director Mary Teresa Rainey	Mgmt	For	For	For
1.8	Elect Director Scott R. Wells	Mgmt	For	For	For
1.9	Elect Director Jinhy Yoon	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

## **Stichting AK Rabobank Certificaten**

Meeting Date: 05/16/2023 **Record Date:** 05/09/2023

Country: Netherlands Meeting Type: Bondholder

Ticker: N/A

Primary Security ID: XS1002102074

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Bondholder Meeting	Mgmt			
1	Open Meeting	Mgmt			
2	Receive Announcements and Minutes of the May 24, 2022 Meeting	Mgmt	For	Refer	Do Not Vote
3	Approve Report on Rabobank Certificates and Activities of the STAK in 2022	Mgmt	For	Refer	Do Not Vote
4	Receive Explanation on Rabobank's Risk and Compensation Policy and Annual Figures 2022	Mgmt	For	Refer	Do Not Vote
5	Close Meeting	Mgmt			

## iHeartMedia, Inc.

Meeting Date: 05/18/2023 Record Date: 03/24/2023 Country: USA
Meeting Type: Annual

Ticker: IHRT

Primary Security ID: 45174J509

**Shares Voted:** 1,434,213

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Robert W. Pittman	Mgmt	For	For	For
1.2	Elect Director James A. Rasulo	Mgmt	For	For	For
1.3	Elect Director Richard J. Bressler	Mgmt	For	For	For
1.4	Elect Director Samuel E. Englebardt	Mgmt	For	For	For
1.5	Elect Director Brad Gerstner	Mgmt	For	For	For
1.6	Elect Director Cheryl Mills	Mgmt	For	For	For
1.7	Elect Director Graciela Monteagudo	Mgmt	For	For	For
1.8	Elect Director Kamakshi Sivaramakrishnan	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Amend Omnibus Stock Plan	Mgmt	For	For	For

# **CBL & Associates Properties, Inc.**

Meeting Date: 05/24/2023 Record Date: 04/06/2023 Country: USA
Meeting Type: Annual

Primary Security ID: 124830878

Ticker: CBL

Shares Voted: 15,608

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Marjorie L. Bowen	Mgmt	For	For	For
1.2	Elect Director David J. Contis	Mgmt	For	For	For
1.3	Elect Director David M. Fields	Mgmt	For	For	For
1.4	Elect Director Robert G. Gifford	Mgmt	For	For	For
1.5	Elect Director Jeffrey Kivitz	Mgmt	For	For	For
1.6	Elect Director Stephen D. Lebovitz	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

## **CBL & Associates Properties, Inc.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Policy Rec	Vote Instruction
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

## **Uniti Group Inc.**

**Meeting Date:** 05/25/2023 **Record Date:** 03/24/2023

**Primary Security ID:** 91325V108

Country: USA

Meeting Type: Annual

Ticker: UNIT

Shares Voted: 482,376

Shares Voted: 1,721,120

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jennifer S. Banner	Mgmt	For	For	For
1b	Elect Director Scott G. Bruce	Mgmt	For	For	For
1c	Elect Director Francis X. (Skip) Frantz	Mgmt	For	For	For
1d	Elect Director Kenneth A. Gunderman	Mgmt	For	For	For
1e	Elect Director Carmen Perez-Carlton	Mgmt	For	For	For
2	Amend Omnibus Stock Plan	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

#### **Cairo Mezz Plc**

**Meeting Date:** 06/15/2023 **Record Date:** 06/10/2023

**Country:** Cyprus **Meeting Type:** Annual

Primary Security ID: M2058X100

Auditors

Ticker: CAIROMEZ

Voting Proposal Mgmt Policy Vote **Proposal Text** Proponent Instruction Number Rec Rec Annual Meeting Agenda Mgmt Accept Financial Statements and Mgmt For For For Statutory Reports Approve Director Remuneration Mgmt For For For Ratify KPMG Limited as Auditors Mgmt For For For Approve Remuneration of External Mgmt For For For

## **ADLER Group SA**

Meeting Date: 06/21/2023
Record Date: 06/07/2023

**Country:** Luxembourg **Meeting Type:** Annual

Ticker: ADJ

Primary Security ID: L0112A109

Shares Voted: 371,786

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Receive Special Board's Report (Non-Voting)	Mgmt			
2	Receive Management Board (Non-Voting)	Mgmt			
3	Approve Unaudited Standalone Financial Statements	Mgmt	For	Against	For
4	Approve Unaudited Consolidated Financial Statements	Mgmt	For	Against	For
5	Approve Allocation of Loss	Mgmt	For	For	For
6	Approve Discharge of Directors	Mgmt	For	Against	For
7	Elect Thomas Josef Echelmeyer as Director	Mgmt	For	For	For
8	Elect Heiner Arnoldi as Director	Mgmt	For	For	For
9	Elect Stefan Brendgen as Director	Mgmt	For	For	For
10	Appoint Auditor	Mgmt	For	Against	For
11	Approve Remuneration Policy	Mgmt	For	Against	Abstain
12	Approve Remuneration Report	Mgmt	For	Against	Abstain

## **ADLER Group SA**

**Meeting Date:** 06/21/2023 **Record Date:** 06/07/2023

**Country:** Luxembourg **Meeting Type:** Extraordinary

Shareholders

Ticker: ADJ

**Primary Security ID:** L0112A109

Shares Voted: 371,786

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Extraordinary Meeting Agenda	Mgmt			
1	Receive Special Board's Report (Non-Voting)	Mgmt			
2	Approve Continuation Proposal	Mgmt	For	For	For

## **Intelsat SA**

**Meeting Date:** 06/21/2023 **Record Date:** 05/15/2023

**Country:** Luxembourg **Meeting Type:** Annual

Ticker: N/A

**Primary Security ID:** L5217E120

**Shares Voted:** 1,558,147

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
	Annual Meeting Agenda	Mgmt				
1	Receive Board and Auditors' Report	Mgmt				
2	Approve Statutory Standalone Financial Statements	Mgmt	For	Refer	For	
3	Approve Consolidated Financial Statements	Mgmt	For	Refer	For	
4	Approve Discharge of Directors	Mgmt	For	Refer	For	
5	Approve Allocation of Income	Mgmt	For	Refer	For	
6	Approve Appointment and Co-optation of David Wajsgras as Director	Mgmt	For	Refer	For	
7	Approve Appointment and Co-optation of David Mack as Director	Mgmt	For	Refer	For	
8a	Reelect Directors (Bundled)	Mgmt	For	Refer	For	
9	Approve Remuneration of Directors for the year 2022	Mgmt	For	Refer	For	
10	Approve Remuneration of Directors for the year 2023	Mgmt	For	Refer	For	
11	Ratify KPMG Audit as Statutory Auditor	Mgmt	For	Refer	For	
12	Authorize Company and/or any Wholly Owned Subsidiary to Purchase, Acquire, Receive or Hold Shares of Any Class	Mgmt	For	Refer	For	

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): PIMCO INVESTMENT GRADE CREDIT FUND (CANADA) USD

# **Nationwide Building Society**

Meeting Date: 07/14/2022 **Record Date:** 07/12/2022 Primary Security ID: G6S178103 Country: United Kingdom

Meeting Type: Annual

Ticker: NBS

#### Shares Voted: 0

					Shares Voted: 0	
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Accept Financial Statements and Statutory Reports	Mgmt	For	Refer	Do Not Vote	
2	Approve Remuneration Report	Mgmt	For	Refer	Do Not Vote	
3	Approve Remuneration Policy	Mgmt	For	Refer	Do Not Vote	
4	Reappoint Ernst & Young LLP as Auditors	Mgmt	For	Refer	Do Not Vote	
5	Authorise the Society to Apply a Maximum Ratio of the Variable to Fixed Components of Total Remuneration for Material Risk Takers of 2:1	Mgmt	For	Refer	Do Not Vote	
6	Elect Debbie Crosbie as Director	Mgmt	For	Refer	Do Not Vote	
7	Elect Alan Keir as Director	Mgmt	For	Refer	Do Not Vote	
8	Elect Gillian Riley as Director	Mgmt	For	Refer	Do Not Vote	
9	Re-elect Mai Fyfield as Director	Mgmt	For	Refer	Do Not Vote	
10	Re-elect Albert Hitchcock as Director	Mgmt	For	Refer	Do Not Vote	
11	Re-elect Debbie Klein as Director	Mgmt	For	Refer	Do Not Vote	
12	Re-elect Kevin Parry as Director	Mgmt	For	Refer	Do Not Vote	
13	Re-elect Tamara Rajah as Director	Mgmt	For	Refer	Do Not Vote	
14	Re-elect Chris Rhodes as Director	Mgmt	For	Refer	Do Not Vote	
15	Re-elect Phil Rivett as Director	Mgmt	For	Refer	Do Not Vote	
16	Re-elect Gunn Waersted as Director	Mgmt	For	Refer	Do Not Vote	

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): PIMCO LOW DURATION MONTHLY INCOME FUND (CANADA) USD

## **ADLER Group SA**

Meeting Date: 06/21/2023 Record Date: 06/07/2023 Primary Security ID: L0112A109 **Country:** Luxembourg **Meeting Type:** Annual

Ticker: ADJ

Shares Voted: 22,602

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Receive Special Board's Report (Non-Voting)	Mgmt			
2	Receive Management Board (Non-Voting)	Mgmt			
3	Approve Unaudited Standalone Financial Statements	Mgmt	For	Against	For
4	Approve Unaudited Consolidated Financial Statements	Mgmt	For	Against	For
5	Approve Allocation of Loss	Mgmt	For	For	For
6	Approve Discharge of Directors	Mgmt	For	Against	For
7	Elect Thomas Josef Echelmeyer as Director	Mgmt	For	For	For
8	Elect Heiner Arnoldi as Director	Mgmt	For	For	For
9	Elect Stefan Brendgen as Director	Mgmt	For	For	For
10	Appoint Auditor	Mgmt	For	Against	For
11	Approve Remuneration Policy	Mgmt	For	Against	Abstain
12	Approve Remuneration Report	Mgmt	For	Against	Abstain

## **ADLER Group SA**

**Meeting Date:** 06/21/2023 **Record Date:** 06/07/2023

Country: Luxembourg

**Meeting Type:** Extraordinary

Shareholders

Ticker: ADJ

**Primary Security ID:** L0112A109

Shares Voted: 22,602

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Extraordinary Meeting Agenda	Mgmt			
1	Receive Special Board's Report (Non-Voting)	Mgmt			
2	Approve Continuation Proposal	Mgmt	For	For	For

## **Intelsat SA**

**Meeting Date:** 06/21/2023 **Record Date:** 05/15/2023

**Country:** Luxembourg **Meeting Type:** Annual

Ticker: N/A

**Primary Security ID:** L5217E120

Shares Voted: 4,255

				Voting	
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Policy Rec	Vote Instruction
	Annual Meeting Agenda	Mgmt			
1	Receive Board and Auditors' Report	Mgmt			
2	Approve Statutory Standalone Financial Statements	Mgmt	For	Refer	For
3	Approve Consolidated Financial Statements	Mgmt	For	Refer	For
4	Approve Discharge of Directors	Mgmt	For	Refer	For
5	Approve Allocation of Income	Mgmt	For	Refer	For
6	Approve Appointment and Co-optation of David Wajsgras as Director	Mgmt	For	Refer	For
7	Approve Appointment and Co-optation of David Mack as Director	Mgmt	For	Refer	For
8a	Reelect Directors (Bundled)	Mgmt	For	Refer	For
9	Approve Remuneration of Directors for the year 2022	Mgmt	For	Refer	For
10	Approve Remuneration of Directors for the year 2023	Mgmt	For	Refer	For
11	Ratify KPMG Audit as Statutory Auditor	Mgmt	For	Refer	For
12	Authorize Company and/or any Wholly Owned Subsidiary to Purchase, Acquire, Receive or Hold Shares of Any Class	Mgmt	For	Refer	For

REPORTING PERIOD: 07/01/2022 to 06/30/2023

LOCATION(S): ALL LOCATIONS

INSTITUTION ACCOUNT(S): PIMCO DIVERSIFIED MULTI-ASSET FUND (CANADA)

# Riot Blockchain, Inc.

Meeting Date: 07/27/2022 **Record Date:** 05/31/2022 **Primary Security ID:** 767292105 Country: USA Meeting Type: Annual

Ticker: RIOT

Shares Voted: 9,530

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1a	Elect Director Hubert Marleau	Mgmt	For	For	For	
2	Ratify Marcum LLP as Auditors	Mgmt	For	For	For	
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against	
4	Increase Authorized Common Stock	Mgmt	For	For	For	
5	Amend Omnibus Stock Plan	Mgmt	For	For	For	

## Cirrus Logic, Inc.

Meeting Date: 07/29/2022 **Record Date:** 05/31/2022

Country: USA

Meeting Type: Annual

Ticker: CRUS

Primary Security ID: 172755100

Shares Voted: 4,990

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director John C. Carter	Mgmt	For	For	For
1.2	Elect Director Alexander M. Davern	Mgmt	For	For	For
1.3	Elect Director Timothy R. Dehne	Mgmt	For	For	For
1.4	Elect Director John M. Forsyth	Mgmt	For	For	For
1.5	Elect Director Deirdre R. Hanford	Mgmt	For	For	For
1.6	Elect Director Raghib Hussain	Mgmt	For	For	For
1.7	Elect Director Catherine P. Lego	Mgmt	For	For	For
1.8	Elect Director David J. Tupman	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	For	For

#### **NEL ASA**

Meeting Date: 08/02/2022

**Record Date:** 

Country: Norway

Meeting Type: Extraordinary

Shareholders

Primary Security ID: R4S21L127

Ticker: NEL

Shares Voted: 189,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
2	Elect Chairman of Meeting; Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
3	Approve Notice of Meeting and Agenda	Mgmt	For	For	For
4	Approve Equity Plan Financing	Mgmt	For	For	For

# **Alibaba Health Information Technology Limited**

**Meeting Date:** 08/05/2022 **Record Date:** 08/01/2022

Country: Bermuda

Meeting Type: Annual

Primary Security ID: G0171K101

Ticker: 241

Shares Voted: 261,532

					Snares voted: 201,532
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2a1	Elect Zhu Shunyan as Director	Mgmt	For	For	For
2a2	Elect Shen Difan as Director	Mgmt	For	For	For
2a3	Elect Li Faguang as Director	Mgmt	For	For	For
2a4	Elect Luo Tong as Director	Mgmt	For	For	For
2a5	Elect Wong King On, Samuel as Director	Mgmt	For	For	For
3	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve Ernst & Young as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
6	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
7	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
8	Approve Grant of Mandate Authorizing the Directors to Grant Awards of Options and/or Restricted Share Units Pursuant to the Share Award Scheme and Related Transactions	Mgmt	For	Against	Against

## **Alibaba Health Information Technology Limited**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
9a	Amend Bye-laws	Mgmt	For	For	For
9b	Authorize Board to Deal with All Matters in Relation to the Proposed Amendments to the Bye-laws	Mgmt	For	For	For

# Shanghai Fosun Pharmaceutical (Group) Co., Ltd.

**Meeting Date:** 08/10/2022 **Record Date:** 08/04/2022

Country: China

Meeting Type: Extraordinary

Shareholders

Primary Security ID: Y7687D109

Ticker: 2196

Shares Voted: 38,000

					5.14.145 Total 50/000	
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt				
1	Approve Provision of a Loan to Fosun Kite, a Joint Venture, in Proportion to Equity Interest	Mgmt	For	For	For	
2	Approve Provision of Guarantee in Respect of Fushang Yuanchuang, an Investee Company, in Proportion to Equity Interest	Mgmt	For	For	For	
3	Amend Articles of Association	Mgmt	For	Against	Against	
4	Amend Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	For	For	
5	Amend Rules and Procedures Regarding Meetings of Board of Directors	Mgmt	For	For	For	
6	Elect Wen Deyong as Director	Mgmt	For	Against	Against	

#### Flex Ltd.

**Meeting Date:** 08/25/2022 **Record Date:** 06/27/2022

**Country:** Singapore **Meeting Type:** Annual

Ticker: FLEX

Primary Security ID: Y2573F102

Shares Voted: 17,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Revathi Advaithi	Mgmt	For	For	For
1b	Elect Director Michael D. Capellas	Mgmt	For	For	For
1c	Elect Director John D. Harris, II	Mgmt	For	For	For
1d	Elect Director Michael E. Hurlston	Mgmt	For	For	For

#### Flex Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1e	Elect Director Erin L. McSweeney	Mgmt	For	For	For
<b>1</b> f	Elect Director Marc A. Onetto	Mgmt	For	For	For
<b>1</b> g	Elect Director Charles K. Stevens, III	Mgmt	For	For	For
1h	Elect Director Lay Koon Tan	Mgmt	For	For	For
<b>1</b> i	Elect Director Patrick J. Ward	Mgmt	For	For	For
1j	Elect Director William D. Watkins	Mgmt	For	For	For
2	Approve Deloitte & Touche LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Approve Issuance of Shares without Preemptive Rights	Mgmt	For	For	For
5	Authorize Share Repurchase Program	Mgmt	For	For	For

#### **ABB Ltd.**

Meeting Date: 09/07/2022

Record Date:

Country: Switzerland

Meeting Type: Extraordinary

Shareholders

**Primary Security ID:** H0010V101

Ticker: ABBN

Shares Voted: 12,300

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Spin-Off of Accelleron Industries AG	Mgmt	For	For	For
2	Transact Other Business (Voting)	Mgmt	For	Against	Against

# NetApp, Inc.

**Meeting Date:** 09/09/2022 **Record Date:** 07/13/2022

Country: USA
Meeting Type: Annual

Primary Security ID: 64110D104

Ticker: NTAP

Shares Voted: 11,296

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director T. Michael Nevens	Mgmt	For	For	For
1b	Elect Director Deepak Ahuja	Mgmt	For	For	For
1c	Elect Director Gerald Held	Mgmt	For	For	For
1d	Elect Director Kathryn M. Hill	Mgmt	For	For	For

## NetApp, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1e	Elect Director Deborah L. Kerr	Mgmt	For	For	For
1f	Elect Director George Kurian	Mgmt	For	For	For
1g	Elect Director Carrie Palin	Mgmt	For	For	For
1h	Elect Director Scott F. Schenkel	Mgmt	For	For	For
1i	Elect Director George T. Shaheen	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
4	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For

# **QuantumScape Corporation**

Meeting Date: 09/20/2022 Record Date: 07/28/2022 **Country:** USA **Meeting Type:** Annual Ticker: QS

Primary Security ID: 74767V109

Shares Voted: 3,100

					Shares voted: 5,100
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Jagdeep Singh	Mgmt	For	Withhold	Withhold
1.2	Elect Director Frank Blome	Mgmt	For	Withhold	Withhold
1.3	Elect Director Brad Buss	Mgmt	For	Withhold	Withhold
1.4	Elect Director Jeneanne Hanley	Mgmt	For	For	For
1.5	Elect Director Susan Huppertz	Mgmt	For	For	For
1.6	Elect Director Jurgen Leohold	Mgmt	For	Withhold	Withhold
1.7	Elect Director Gena Lovett	Mgmt	For	For	For
1.8	Elect Director Fritz Prinz	Mgmt	For	Withhold	Withhold
1.9	Elect Director Dipender Saluja	Mgmt	For	Withhold	Withhold
1.10	Elect Director JB Straubel	Mgmt	For	Withhold	Withhold
1.11	Elect Director Jens Wiese	Mgmt	For	Withhold	Withhold
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against

## **Worthington Industries, Inc.**

Meeting Date: 09/28/2022 **Record Date:** 08/01/2022

Country: USA

Primary Security ID: 981811102

Meeting Type: Annual

Ticker: WOR

Shares Voted: 3,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Kerrii B. Anderson	Mgmt	For	Withhold	Withhold
1.2	Elect Director David P. Blom	Mgmt	For	For	For
1.3	Elect Director John P. McConnell	Mgmt	For	For	For
1.4	Elect Director Mary Schiavo	Mgmt	For	Withhold	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

#### HANWHA SOLUTIONS CORP.

Meeting Date: 10/28/2022

Country: South Korea

**Record Date:** 09/28/2022

Meeting Type: Special

Primary Security ID: Y3065K104

Ticker: 009830

Shares Voted: 10,700

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Articles of Incorporation	Mgmt	For	For	For
2	Elect Kim In-hwan as Inside Director	Mgmt	For	Against	Against
3	Approve Split-Off Agreement	Mgmt	For	For	For

#### **ITM Power Plc**

Meeting Date: 10/28/2022 **Record Date:** 10/26/2022

Country: United Kingdom

Meeting Type: Annual

Ticker: ITM

**Primary Security ID:** G4985J102

Shares Voted: 87,283

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report	Mgmt	For	For	For
3	Elect Denise Cockrem as Director	Mgmt	For	For	For
4	Re-elect Simon Bourne as Director	Mgmt	For	For	For
5	Re-elect Martin Green as Director	Mgmt	For	For	For

#### **ITM Power Plc**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Re-elect Rachel Smith as Director	Mgmt	For	For	For
7	Reappoint Grant Thornton UK LLP as Auditors	Mgmt	For	For	For
8	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
9	Authorise Issue of Equity	Mgmt	For	For	For
10	Authorise Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
11	Adopt New Articles of Association	Mgmt	For	For	For

## **Samsung Electronics Co., Ltd.**

**Meeting Date:** 11/03/2022

Country: South Korea

**Record Date:** 09/19/2022

Meeting Type: Special

**Primary Security ID:** Y74718100

Ticker: 005930

Shares Voted: 19,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Heo Eun-nyeong as Outside Director	Mgmt	For	For	For
1.2	Elect Yoo Myeong-hui as Outside Director	Mgmt	For	For	For

## **Marathon Digital Holdings, Inc.**

**Meeting Date:** 11/04/2022 **Record Date:** 09/07/2022

**Country:** USA **Meeting Type:** Annual

Primary Security ID: 565788106

Ticker: MARA

Shares Voted: 5,822

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Georges Antoun	Mgmt	For	Withhold	Withhold
1b	Elect Director Jay Leupp	Mgmt	For	Withhold	Withhold
2	Ratify Marcum LLP as Auditors	Mgmt	For	For	For
3	Increase Authorized Common Stock	Mgmt	For	For	For
4	Other Business	Mgmt	For	Against	Against

## **Lam Research Corporation**

Meeting Date: 11/08/2022 **Record Date:** 09/09/2022

Country: USA Meeting Type: Annual Ticker: LRCX

Primary Security ID: 512807108

Shares Voted: 1,574

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Sohail U. Ahmed	Mgmt	For	For	For
1b	Elect Director Timothy M. Archer	Mgmt	For	For	For
1c	Elect Director Eric K. Brandt	Mgmt	For	For	For
1d	Elect Director Michael R. Cannon	Mgmt	For	For	For
1e	Elect Director Bethany J. Mayer	Mgmt	For	For	For
1f	Elect Director Jyoti K. Mehra	Mgmt	For	For	For
1g	Elect Director Abhijit Y. Talwalkar	Mgmt	For	For	For
1h	Elect Director Lih Shyng (Rick L.) Tsai	Mgmt	For	For	For
<b>1</b> i	Elect Director Leslie F. Varon	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

#### **Calix Limited**

Meeting Date: 11/16/2022 **Record Date:** 11/14/2022

Country: Australia Meeting Type: Annual

Ticker: CXL

Primary Security ID: Q1R90H112

Shares Voted: 20,008

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Remuneration Report	Mgmt	For	For	For
2	Elect Peter Turnbull as Director	Mgmt	For	Against	Against
3	Approve the Increase in Maximum Aggregate Remuneration of Non-Executive Directors	Mgmt	For	Against	Against

## Riot Blockchain, Inc.

Meeting Date: 11/17/2022 **Record Date:** 09/29/2022

Country: USA Meeting Type: Special Ticker: RIOT

**Primary Security ID:** 767292105

Shares Voted: 9,530

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Increase Authorized Common Stock	Mgmt	For	For	For

# **Xinyi Solar Holdings Limited**

**Meeting Date:** 11/25/2022 **Record Date:** 11/21/2022

**Country:** Cayman Islands **Meeting Type:** Extraordinary

Shareholders

Primary Security ID: G9829N102

Ticker: 968

Shares Voted: 104,800

				Voting		
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Policy Rec	Vote Instruction	
		<u> </u>				
1	Approve First Amendments and Adopt First Amended and Restated Articles	Mgmt	For	For	For	
2	Approve Second Amendments and Adopt Second Amended and Restated Memorandum and the Second Amended and Restated Articles	Mgmt	For	For	For	
3	Approve RMB Ordinary Share Issue and Specific Mandate	Mgmt	For	For	For	
4	Approve Plan for Distribution of Profits	Mgmt	For	For	For	
5	Approve Stabilization Plan	Mgmt	For	For	For	
6	Approve Profit Distribution and Return Policy	Mgmt	For	For	For	
7	Approve the Plan for the Use of the Net Proceeds	Mgmt	For	For	For	
8	Approve Remedial Measures for Potential Dilution	Mgmt	For	For	For	
9	Approve Seven Letters of Commitment and Undertakings	Mgmt	For	For	For	
10	Approve Adoption of General Meeting Procedures	Mgmt	For	For	For	
11	Approve Adoption of Board Meeting Procedures	Mgmt	For	For	For	
12	Authorize Board to Deal with Matters in Relation to the RMB Ordinary Share Issue and PRC Listing	Mgmt	For	For	For	

#### **Hamamatsu Photonics KK**

Meeting Date: 12/16/2022 Record Date: 09/30/2022 Country: Japan
Meeting Type: Annual

Ticker: 6965

Primary Security ID: J18270108

Shares Voted: 7,989

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 40	Mgmt	For	For	For
2	Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	For	For	For
3.1	Elect Director Hiruma, Akira	Mgmt	For	For	For
3.2	Elect Director Suzuki, Kenji	Mgmt	For	For	For
3.3	Elect Director Maruno, Tadashi	Mgmt	For	For	For
3.4	Elect Director Kato, Hisaki	Mgmt	For	For	For
3.5	Elect Director Suzuki, Takayuki	Mgmt	For	For	For
3.6	Elect Director Mori, Kazuhiko	Mgmt	For	For	For
3.7	Elect Director Kodate, Kashiko	Mgmt	For	For	For
3.8	Elect Director Koibuchi, Ken	Mgmt	For	For	For
3.9	Elect Director Kurihara, Kazue	Mgmt	For	For	For
3.10	Elect Director Hirose, Takuo	Mgmt	For	For	For

# Xinjiang Goldwind Science & Technology Co., Ltd.

**Meeting Date:** 12/23/2022 **Record Date:** 11/22/2022

Country: China

Meeting Type: Extraordinary

Shareholders

**Primary Security ID:** Y97237112

Ticker: 2208

Shares	Voted:	298,455

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
	EGM BALLOT FOR HOLDERS OF H SHARES	Mgmt				
1	Amend Articles of Association	Mgmt	For	Against	Against	
2	Amend Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	For	For	
3	Approve Remuneration of the Chairman of the Eighth Session of the Board	Mgmt	For	For	For	
4	Approve Application for the Estimated Annual Cap for Connected Transactions	Mgmt	For	For	For	
5	Elect Wang Yili as Director	SH	For	For	For	

# **Micron Technology, Inc.**

**Meeting Date:** 01/12/2023 **Record Date:** 11/14/2022

Country: USA
Meeting Type: Annual

y: USA Ticker: MU

Primary Security ID: 595112103

Shares Voted: 8,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Richard M. Beyer	Mgmt	For	For	For
1b	Elect Director Lynn A. Dugle	Mgmt	For	For	For
1c	Elect Director Steven J. Gomo	Mgmt	For	For	For
1d	Elect Director Linnie M. Haynesworth	Mgmt	For	For	For
1e	Elect Director Mary Pat McCarthy	Mgmt	For	For	For
1f	Elect Director Sanjay Mehrotra	Mgmt	For	For	For
1g	Elect Director Robert E. Switz	Mgmt	For	For	For
1h	Elect Director MaryAnn Wright	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Amend Omnibus Stock Plan	Mgmt	For	For	For
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

## thyssenkrupp AG

**Meeting Date:** 02/03/2023 **Record Date:** 01/12/2023

**Country:** Germany **Meeting Type:** Annual

Ticker: TKA

Primary Security ID: D8398Q119

Shares Voted: 23,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2021/22 (Non-Voting)	Mgmt				
2	Approve Allocation of Income and Dividends of EUR 0.15 per Share	Mgmt	For	For	For	
3	Approve Discharge of Management Board for Fiscal Year 2021/22	Mgmt	For	For	For	
4	Approve Discharge of Supervisory Board for Fiscal Year 2021/22	Mgmt	For	For	For	
5	Ratify KPMG AG as Auditor for Fiscal Year 2022/23 and as Auditor for the Review of the Interim Financial Statements and Reports for Fiscal Year 2023/24	Mgmt	For	For	For	
6	Approve Remuneration Report	Mgmt	For	For	For	

## thyssenkrupp AG

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
7.1	Elect Siegfried Russwurm to the Supervisory Board	Mgmt	For	For	For
7.2	Elect Birgit A. Behrendt to the Supervisory Board	Mgmt	For	For	For
7.3	Elect Patrick Berard to the Supervisory Board	Mgmt	For	For	For
7.4	Elect Wolfgang Colberg to the Supervisory Board	Mgmt	For	For	For
7.5	Elect Angelika Gifford to the Supervisory Board	Mgmt	For	For	For
7.6	Elect Bernhard Guenther to the Supervisory Board	Mgmt	For	For	For
7.7	Elect Ingo Luge to the Supervisory Board	Mgmt	For	For	For
8	Approve Virtual-Only Shareholder Meetings Until 2025	Mgmt	For	For	For

## **Siemens Energy AG**

Meeting Date: 02/07/2023 **Record Date:** 01/31/2023

3.3

3.4

3.5

4.1

Country: Germany Meeting Type: Annual

Board Member Maria Ferraro for Fiscal

Approve Discharge of Management

Board Member Karim Amin (from March 1, 2022) for Fiscal Year

Approve Discharge of Management

Board Member Jochen Eickholt (until Feb. 28, 2022) for Fiscal Year 2021/22

Approve Discharge of Management

Approve Discharge of Supervisory

Board Member Joe Kaeser for Fiscal

Board Member Tim Holt for Fiscal Year

Year 2021/22

2021/22

2021/22

Year 2021/22

Ticker: ENR

Shares Voted: 25,600

For

For

For

For

For

For

For

Primary Security ID: D6T47E106

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2021/22 (Non-Voting)	Mgmt				
2	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For	
3.1	Approve Discharge of Management Board Member Christian Bruch for Fiscal Year 2021/22	Mgmt	For	For	For	
3.2	Approve Discharge of Management	Mgmt	For	For	For	

For

For

For

For

Mgmt

Mgmt

Mgmt

Mgmt

# **Siemens Energy AG**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.2	Approve Discharge of Supervisory Board Member Robert Kensbock for Fiscal Year 2021/22	Mgmt	For	For	For
4.3	Approve Discharge of Supervisory Board Member Hubert Lienhard for Fiscal Year 2021/22	Mgmt	For	For	For
4.4	Approve Discharge of Supervisory Board Member Guenter Augustat for Fiscal Year 2021/22	Mgmt	For	For	For
4.5	Approve Discharge of Supervisory Board Member Manfred Baereis for Fiscal Year 2021/22	Mgmt	For	For	For
4.6	Approve Discharge of Supervisory Board Member Manuel Bloemers (from Sep. 1, 2022) for Fiscal Year 2021/22	Mgmt	For	For	For
4.7	Approve Discharge of Supervisory Board Member Christine Bortenlaenger for Fiscal Year 2021/22	Mgmt	For	For	For
4.8	Approve Discharge of Supervisory Board Member Andrea Fehrmann for Fiscal Year 2021/22	Mgmt	For	For	For
4.9	Approve Discharge of Supervisory Board Member Andreas Feldmueller for Fiscal Year 2021/22	Mgmt	For	For	For
4.10	Approve Discharge of Supervisory Board Member Nadine Florian for Fiscal Year 2021/22	Mgmt	For	For	For
4.11	Approve Discharge of Supervisory Board Member Sigmar Gabriel for Fiscal Year 2021/22	Mgmt	For	For	For
4.12	Approve Discharge of Supervisory Board Member Ruediger Gross (until Aug. 31, 2022) for Fiscal Year 2021/22	Mgmt	For	For	For
4.13	Approve Discharge of Supervisory Board Member Horst Hakelberg for Fiscal Year 2021/22	Mgmt	For	For	For
4.14	Approve Discharge of Supervisory Board Member Juergen Kerner for Fiscal Year 2021/22	Mgmt	For	For	For
4.15	Approve Discharge of Supervisory Board Member Hildegard Mueller for Fiscal Year 2021/22	Mgmt	For	For	For
4.16	Approve Discharge of Supervisory Board Member Laurence Mulliez for Fiscal Year 2021/22	Mgmt	For	For	For
4.17	Approve Discharge of Supervisory Board Member Thomas Pfann (from Sep. 1, 2022) for Fiscal Year 2021/22	Mgmt	For	For	For
4.18	Approve Discharge of Supervisory Board Member Matthias Rebellius for Fiscal Year 2021/22	Mgmt	For	For	For
4.19	Approve Discharge of Supervisory Board Member Hagen Reimer (until Aug. 31, 2022) for Fiscal Year 2021/22	Mgmt	For	For	For

# **Siemens Energy AG**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4.20	Approve Discharge of Supervisory Board Member Ralf Thomas for Fiscal Year 2021/22	Mgmt	For	For	For
4.21	Approve Discharge of Supervisory Board Member Geisha Williams for Fiscal Year 2021/22	Mgmt	For	For	For
4.22	Approve Discharge of Supervisory Board Member Randy Zwirn for Fiscal Year 2021/22	Mgmt	For	For	For
5	Ratify Ernst & Young GmbH as Auditors for Fiscal Year 2022/23	Mgmt	For	For	For
6	Approve Remuneration Report	Mgmt	For	For	For
7	Amend Articles Re: Supervisory Board Committees	Mgmt	For	For	For
8	Amend Articles Re: Participation of Supervisory Board Members in the Annual General Meeting by Means of Audio and Video Transmission	Mgmt	For	For	For
9	Approve Virtual-Only Shareholder Meetings Until 2025	Mgmt	For	For	For
10	Approve Creation of EUR 363.3 Million Pool of Authorized Capital with or without Exclusion of Preemptive Rights	Mgmt	For	For	For
11	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 4 Billion; Approve Creation of EUR 72.7 Million Pool of Capital to Guarantee Conversion Rights	Mgmt	For	For	For
12	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For

## **Velodyne Lidar, Inc.**

Meeting Date: 02/10/2023 Record Date: 12/05/2022 Country: USA

Meeting Type: Special

**Primary Security ID:** 92259F101

Ticker: VLDR

Shares Voted: 25,500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	For	For
3	Adjourn Meeting	Mgmt	For	For	For

#### HANWHA SOLUTIONS CORP.

Meeting Date: 02/13/2023 Record Date: 01/12/2023 **Country:** South Korea **Meeting Type:** Special

**Ticker:** 009830

Primary Security ID: Y3065K104

Shares Voted: 9,200

Proposal			Mgmt	Voting Policy	Vote
Number	Proposal Text	Proponent	Rec	Rec	Instruction
1	Amend Articles of Incorporation	Mgmt	For	For	For
2	Approve Spin-Off Agreement	Mgmt	For	For	For

# **Applied Materials, Inc.**

Meeting Date: 03/09/2023 Record Date: 01/11/2023 **Country:** USA **Meeting Type:** Annual

Ticker: AMAT

Primary Security ID: 038222105

Shares Voted: 4,395

					Silates voted: 4,393
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Rani Borkar	Mgmt	For	For	For
1b	Elect Director Judy Bruner	Mgmt	For	For	For
1c	Elect Director Xun (Eric) Chen	Mgmt	For	For	For
1d	Elect Director Aart J. de Geus	Mgmt	For	For	For
1e	Elect Director Gary E. Dickerson	Mgmt	For	For	For
1f	Elect Director Thomas J. Iannotti	Mgmt	For	For	For
1g	Elect Director Alexander A. Karsner	Mgmt	For	For	For
1h	Elect Director Kevin P. March	Mgmt	For	For	For
<b>1</b> i	Elect Director Yvonne McGill	Mgmt	For	For	For
1j	Elect Director Scott A. McGregor	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For
6	Improve Executive Compensation Program and Policy	SH	Against	Against	Against

## Hologic, Inc.

Meeting Date: 03/09/2023 **Record Date:** 01/11/2023

Country: USA Meeting Type: Annual Ticker: HOLX

Primary Security ID: 436440101

Shares Voted: 5,070

				Voting	
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Policy Rec	Vote Instruction
1a	Elect Director Stephen P. MacMillan	Mgmt	For	For	For
1b	Elect Director Sally W. Crawford	Mgmt	For	For	For
1c	Elect Director Charles J. Dockendorff	Mgmt	For	For	For
1d	Elect Director Scott T. Garrett	Mgmt	For	For	For
1e	Elect Director Ludwig N. Hantson	Mgmt	For	For	For
1f	Elect Director Namal Nawana	Mgmt	For	For	For
1g	Elect Director Christiana Stamoulis	Mgmt	For	For	For
1h	Elect Director Stacey D. Stewart	Mgmt	For	For	For
1i	Elect Director Amy M. Wendell	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	For
6	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

# **Samsung Electronics Co., Ltd.**

Meeting Date: 03/15/2023 **Record Date:** 12/31/2022

**Primary Security ID:** Y74718100

Country: South Korea

Meeting Type: Annual

Shares Voted: 14,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For	
2	Elect Han Jong-hui as Inside Director	Mgmt	For	For	For	
3	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For	

Ticker: 005930

## **Samsung SDI Co., Ltd.**

Meeting Date: 03/15/2023 Record Date: 12/31/2022 **Country:** South Korea **Meeting Type:** Annual

**Ticker:** 006400

Primary Security ID: Y74866107

Shares Voted: 432

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Elect Jeon Young-hyeon as Inside Director	Mgmt	For	For	For
2.2	Elect Kwon Oh-gyeong as Outside Director	Mgmt	For	For	For
2.3	Elect Kim Deok-hyeon as Outside Director	Mgmt	For	For	For
2.4	Elect Lee Mi-gyeong as Outside Director	Mgmt	For	For	For
3.1	Elect Kwon Oh-gyeong as a Member of Audit Committee	Mgmt	For	For	For
3.2	Elect Lee Mi-gyeong as a Member of Audit Committee	Mgmt	For	For	For
4	Elect Choi Won-wook as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
5	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

## **Stora Enso Oyj**

**Meeting Date:** 03/16/2023 **Record Date:** 03/06/2023

**Country:** Finland **Meeting Type:** Annual

Ticker: STERV

Primary Security ID: X8T9CM113

Shares Voted: 18,427

Proposal Number         Proposal Text         Proponent         Mgmt Rec         Voting Policy Policy Policy Number         Vote Rec         Instruction           1         Open Meeting         Mgmt         Instruction         Instruction           2         Call the Meeting to Order         Mgmt         Image: Mgmt Mgmt         Image: Mgmt Mgmt           3         Designate Inspector or Shareholder Representative(s) of Minutes of Meeting Meeting         Mgmt         Image: Mgmt Mgmt         Image: Mgmt Mgmt           4         Acknowledge Proper Convening of Meeting Meeting Meeting Meeting Meeting Meeting Meeting Mgmt         Mgmt         Image: Mgmt Mgmt Mgmt         Image: Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt Mgmt						
2 Call the Meeting to Order Mgmt  3 Designate Inspector or Shareholder Representative(s) of Minutes of Meeting  4 Acknowledge Proper Convening of Mgmt Meeting  5 Prepare and Approve List of Shareholders  6 Receive Financial Statements and Statutory Reports  7 Accept Financial Statements and Mgmt For For For		Proposal Text	Proponent		Policy	
Designate Inspector or Shareholder Representative(s) of Minutes of Meeting  Acknowledge Proper Convening of Meeting  Prepare and Approve List of Shareholders  Receive Financial Statements and Statutory Reports  Accept Financial Statements and Mgmt  For For For	1	Open Meeting	Mgmt			
Representative(s) of Minutes of Meeting  4 Acknowledge Proper Convening of Meeting  5 Prepare and Approve List of Shareholders  6 Receive Financial Statements and Statutory Reports  7 Accept Financial Statements and Mgmt  For For For	2	Call the Meeting to Order	Mgmt			
Meeting  5 Prepare and Approve List of Mgmt Shareholders  6 Receive Financial Statements and Statutory Reports  7 Accept Financial Statements and Mgmt For For For	3	Representative(s) of Minutes of	Mgmt			
Shareholders  6 Receive Financial Statements and Mgmt Statutory Reports  7 Accept Financial Statements and Mgmt For For For	4		Mgmt			
Statutory Reports  7 Accept Financial Statements and Mgmt For For For	5		Mgmt			
· · · · · · · · · · · · · · · · · · ·	6		Mgmt			
	7		Mgmt	For	For	For

# **Stora Enso Oyj**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Approve Allocation of Income and Dividends of EUR 0.60 Per Share	Mgmt	For	For	For
9	Approve Discharge of Board and President	Mgmt	For	For	For
10	Approve Remuneration Report (Advisory Vote)	Mgmt	For	For	For
11	Approve Remuneration of Directors in the Amount of EUR 209,000 for Chairman, EUR 118,000 for Vice Chairman, and EUR 81,000 for Other Directors; Approve Remuneration for Committee Work	Mgmt	For	For	For
12	Fix Number of Directors at Nine	Mgmt	For	For	For
13	Reelect Hakan Buskhe (Vice-Chair), Elisabeth Fleuriot, Helena Hedblom, Kari Jordan (Chair), Christiane Kuehne, Antti Makinen, Richard Nilsson and Hans Sohlstrom as Directors; Elect Astrid Hermann as New Director	Mgmt	For	Against	Against
14	Approve Remuneration of Auditors	Mgmt	For	For	For
15	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
16	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For
17	Approve Issuance of up to 2 Million Class R Shares without Preemptive Rights	Mgmt	For	For	For
18	Allow Shareholder Meetings to be Held by Electronic Means Only	Mgmt	For	For	For
19	Decision on Making Order	Mgmt			
20	Close Meeting	Mgmt			

# **Valmet Corp.**

Meeting Date: 03/22/2023 Record Date: 03/10/2023 Country: Finland
Meeting Type: Annual

Ticker: VALMT

Primary Security ID: X96478114

Shares Voted: 12,641

Proposal			Mgmt	Voting Policy	Vote
Number	Proposal Text	Proponent	Rec	Rec	Instruction
1	Open Meeting	Mgmt			
2	Call the Meeting to Order	Mgmt			
3	Designate Inspector or Shareholder Representative(s) of Minutes of Meeting	Mgmt			
4	Acknowledge Proper Convening of Meeting	Mgmt			

# **Valmet Corp.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Prepare and Approve List of Shareholders	Mgmt			
6	Receive Financial Statements and Statutory Reports	Mgmt			
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8	Approve Allocation of Income and Dividends of EUR 1.30 Per Share	Mgmt	For	For	For
9	Approve Discharge of Board and President	Mgmt	For	For	For
10	Approve Remuneration Report (Advisory Vote)	Mgmt	For	Against	Against
11	Approve Remuneration of Directors in the Amount of EUR 145,000 for Chairman, EUR 80,000 for Vice Chairman and EUR 64,000 for Other Directors; Approve Remuneration for Committee Work; Approve Meeting Fees	Mgmt	For	For	For
12	Fix Number of Directors at Eight	Mgmt	For	For	For
13	Reelect Aaro Cantell, Jaakko Eskola (Vice-Chair), Anu Hamalainen, Pekka Kemppainen, Per Lindberg, Monika Maurer, Mikael Makinen (Chair), and Eriikka Soderstrom as Directors	Mgmt	For	Against	Against
14	Approve Remuneration of Auditors	Mgmt	For	For	For
15	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
16	Authorize Share Repurchase Program and Reissuance of Repurchased Shares	Mgmt	For	For	For
17	Approve Issuance of up to 18.5 Million Shares without Preemptive Rights	Mgmt	For	For	For
18	Close Meeting	Mgmt			

#### **ABB Ltd.**

**Meeting Date:** 03/23/2023

Record Date:

Primary Security ID: H0010V101

**Country:** Switzerland **Meeting Type:** Annual

Ticker: ABBN

Shares Voted: 7,040

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Remuneration Report (Non-Binding)	Mgmt	For	For	For
3	Approve Discharge of Board and Senior Management	Mgmt	For	Against	Against

## **ABB Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
4	Approve Allocation of Income and Dividends of CHF 0.84 per Share	Mgmt	For	For	For	
5.1	Amend Articles Re: Shares and Share Register	Mgmt	For	For	For	
5.2	Amend Articles Re: Restriction on Registration	Mgmt	For	For	For	
5.3	Amend Articles Re: General Meeting	Mgmt	For	For	For	
5.4	Approve Virtual-Only Shareholder Meetings	Mgmt	For	For	For	
5.5	Amend Articles Re: Board of Directors and Compensation	Mgmt	For	For	For	
6	Approve Creation of Capital Band within the Upper Limit of CHF 259.3 Million and the Lower Limit of CHF 212.2 Million with or without Exclusion of Preemptive Rights	Mgmt	For	For	For	
7.1	Approve Remuneration of Directors in the Amount of CHF 4.4 Million	Mgmt	For	For	For	
7.2	Approve Remuneration of Executive Committee in the Amount of CHF 43.9 Million	Mgmt	For	For	For	
8.1	Reelect Gunnar Brock as Director	Mgmt	For	For	For	
8.2	Reelect David Constable as Director	Mgmt	For	For	For	
8.3	Reelect Frederico Curado as Director	Mgmt	For	For	For	
8.4	Reelect Lars Foerberg as Director	Mgmt	For	For	For	
8.5	Elect Denise Johnson as Director	Mgmt	For	For	For	
8.6	Reelect Jennifer Xin-Zhe Li as Director	Mgmt	For	For	For	
8.7	Reelect Geraldine Matchett as Director	Mgmt	For	For	For	
8.8	Reelect David Meline as Director	Mgmt	For	For	For	
8.9	Reelect Jacob Wallenberg as Director	Mgmt	For	For	For	
8.10	Reelect Peter Voser as Director and Board Chair	Mgmt	For	For	For	
9.1	Reappoint David Constable as Member of the Compensation Committee	Mgmt	For	For	For	
9.2	Reappoint Frederico Curado as Member of the Compensation Committee	Mgmt	For	For	For	
9.3	Reappoint Jennifer Xin-Zhe Li as Member of the Compensation Committee	Mgmt	For	For	For	
10	Designate Zehnder Bolliger & Partner as Independent Proxy	Mgmt	For	For	For	
11	Ratify KPMG AG as Auditors	Mgmt	For	For	For	
12	Transact Other Business (Voting)	Mgmt	For	Against	Against	

#### HANWHA SOLUTIONS CORP.

Meeting Date: 03/23/2023 Record Date: 12/31/2022 **Country:** South Korea **Meeting Type:** Annual

**Ticker:** 009830

Primary Security ID: Y3065K104

Shares Voted: 9,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Elect Lee Gu-young as Inside Director	Mgmt	For	For	For
2.2	Elect Kim In-hwan as Non-Independent Non-Executive Director	Mgmt	For	For	For
3	Elect Jang Jae-soo as Outside Director to Serve as an Audit Committee Member	Mgmt	For	For	For
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

# **Kyowa Kirin Co., Ltd.**

Meeting Date: 03/24/2023 Record Date: 12/31/2022 Country: Japan
Meeting Type: Annual

cord Date: 12/31/2022 Meeting Type: Ann

Ticker: 4151

Primary Security ID: J38296117

Shares Voted: 5,800

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 27	Mgmt	For	For	For
2.1	Elect Director Miyamoto, Masashi	Mgmt	For	For	For
2.2	Elect Director Osawa, Yutaka	Mgmt	For	For	For
2.3	Elect Director Yamashita, Takeyoshi	Mgmt	For	For	For
2.4	Elect Director Minakata, Takeshi	Mgmt	For	For	For
2.5	Elect Director Morita, Akira	Mgmt	For	For	For
2.6	Elect Director Haga, Yuko	Mgmt	For	For	For
2.7	Elect Director Oyamada, Takashi	Mgmt	For	For	For
2.8	Elect Director Suzuki, Yoshihisa	Mgmt	For	For	For
2.9	Elect Director Nakata, Rumiko	Mgmt	For	For	For
3	Appoint Statutory Auditor Ishikura, Toru	Mgmt	For	For	For

## **NEXON Co., Ltd.**

Meeting Date: 03/24/2023 Record Date: 12/31/2022 **Country:** Japan **Meeting Type:** Annual

Ticker: 3659

Primary Security ID: J4914X104

Shares Voted: 11,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Owen Mahoney	Mgmt	For	Against	Against
1.2	Elect Director Uemura, Shiro	Mgmt	For	For	For
1.3	Elect Director Junghun Lee	Mgmt	For	For	For
1.4	Elect Director Patrick Soderlund	Mgmt	For	For	For
1.5	Elect Director Mitchell Lasky	Mgmt	For	For	For
2	Approve Deep Discount Stock Option Plan	Mgmt	For	Against	Against

#### **Holmen AB**

**Meeting Date:** 03/28/2023 **Record Date:** 03/20/2023

Country: Sweden

Meeting Type: Annual

Primary Security ID: W4R00P201

Ticker: HOLM.B

Shares Voted: 7,200

					Shares Voted: 7,200	
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Open Meeting	Mgmt				
2	Elect Chairman of Meeting	Mgmt	For	For	For	
3	Prepare and Approve List of Shareholders	Mgmt	For	For	For	
4	Designate Inspectors of Minutes of Meeting	Mgmt	For	For	For	
5	Approve Agenda of Meeting	Mgmt	For	For	For	
6	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For	
7	Receive Financial Statements and Statutory Reports	Mgmt				
8	Allow Questions	Mgmt				
9	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For	
10	Approve Allocation of Income and Dividends of SEK 16 Per Share	Mgmt	For	For	For	
11	Approve Discharge of Board and President	Mgmt	For	For	For	
12	Determine Number of Members (9) and Deputy Members of Board (0); Auditors (1) and Deputy Auditors (0)	Mgmt	For	For	For	

#### **Holmen AB**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
13	Approve Remuneration of Directors in the Aggregate Amount of SEK 3,690,000; Approve Remuneration of Auditors	Mgmt	For	For	For
14	Reelect Fredrik Lundberg, Lars Josefsson, Alice Kempe, Louise Lindh, Ulf Lundahl, Fredrik Persson (Chair), Henrik Sjolund and Henriette Zeuchner as Directors; Elect Carina Akerstrom as Director	Mgmt	For	Against	Against
15	Ratify PricewaterhouseCoopers AB as Auditor	Mgmt	For	For	For
16	Approve Remuneration Report	Mgmt	For	For	For
17	Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	For	For	For
18	Authorize Share Repurchase Program	Mgmt	For	For	For
19	Close Meeting	Mgmt			

#### **LG Chem Ltd.**

**Meeting Date:** 03/28/2023 **Record Date:** 12/31/2022

**Country:** South Korea **Meeting Type:** Annual

**Primary Security ID:** Y52758102

**Ticker:** 051910

Shares Voted: 1,098

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2	Elect Cheon Gyeong-hun as Outside Director	Mgmt	For	For	For
3	Elect Cheon Gyeong-hun as a Member of Audit Committee	Mgmt	For	For	For
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	For	For

## **Neste Corp.**

Meeting Date: 03/28/2023 Record Date: 03/16/2023 **Country:** Finland **Meeting Type:** Annual

Ticker: NESTE

Primary Security ID: X5688A109

Shares Voted: 5,000

				Voting	
Proposal Number			Mgmt	Policy	Vote
Number	Proposal Text	Proponent	Rec	Rec	Instruction

Mgmt

Open Meeting

# **Neste Corp.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Call the Meeting to Order	Mgmt			
3	Designate Inspector or Shareholder Representative(s) of Minutes of Meeting	Mgmt			
4	Acknowledge Proper Convening of Meeting	Mgmt			
5	Prepare and Approve List of Shareholders	Mgmt			
6	Receive Financial Statements and Statutory Reports; Receive Board's Report; Receive Auditor's Report	Mgmt			
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8	Approve Allocation of Income and Dividends of EUR 1.02 Per Share	Mgmt	For	For	For
9	Approve Discharge of Board and President	Mgmt	For	For	For
10	Approve Remuneration Report (Advisory Vote)	Mgmt	For	For	For
11	Approve Remuneration of Directors in the Amount of EUR 95,000 for Chairman, EUR 60,000 for Vice Chairman, and EUR 45,000 for Other Directors; Approve Remuneration for Committee Work; Approve Meeting Fees	Mgmt	For	For	For
12	Fix Number of Directors at Nine	Mgmt	For	For	For
13	Reelect Matti Kahkonen (Chair), John Abbott, Nick Elmslie, Just Jansz, Jari Rosendal, Eeva Sipila (Vice Chair) and Johanna Soderstrom as Directors; Elect Heikki Malinen and Kimmo Viertola as New Directors	Mgmt	For	For	For
14	Approve Remuneration of Auditors	Mgmt	For	For	For
15	Ratify KPMG as Auditors	Mgmt	For	For	For
16	Authorize Share Repurchase Program	Mgmt	For	For	For
17	Approve Issuance of up to 23 Million Shares without Preemptive Rights	Mgmt	For	For	For
18	Amend Articles Re: Book-Entry System	Mgmt	For	Against	Against
19	Close Meeting	Mgmt			

# **Trend Micro, Inc.**

Meeting Date: 03/28/2023 Record Date: 12/31/2022 Primary Security ID: J9298Q104 **Country:** Japan **Meeting Type:** Annual

Ticker: 4704

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 151	Mgmt	For	For	For
2.1	Elect Director Chang Ming-Jang	Mgmt	For	For	For
2.2	Elect Director Eva Chen	Mgmt	For	For	For
2.3	Elect Director Mahendra Negi	Mgmt	For	For	For
2.4	Elect Director Omikawa, Akihiko	Mgmt	For	For	For
2.5	Elect Director Koga, Tetsuo	Mgmt	For	For	For
2.6	Elect Director Tokuoka, Koichiro	Mgmt	For	For	For
3	Amend Articles to Change Location of Head Office	Mgmt	For	For	For

Ticker: 4768

#### **OTSUKA CORP.**

Meeting Date: 03/29/2023 **Record Date:** 12/31/2022

Country: Japan

Meeting Type: Annual

**Primary Security ID:** J6243L115

Shares Voted: 3,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 125	Mgmt	For	For	For
2.1	Elect Director Otsuka, Yuji	Mgmt	For	For	For
2.2	Elect Director Katakura, Kazuyuki	Mgmt	For	For	For
2.3	Elect Director Tsurumi, Hironobu	Mgmt	For	For	For
2.4	Elect Director Saito, Hironobu	Mgmt	For	For	For
2.5	Elect Director Sakurai, Minoru	Mgmt	For	For	For
2.6	Elect Director Makino, Jiro	Mgmt	For	For	For
2.7	Elect Director Saito, Tetsuo	Mgmt	For	For	For
2.8	Elect Director Hamabe, Makiko	Mgmt	For	For	For
3	Appoint Statutory Auditor Murata, Tatsumi	Mgmt	For	For	For
4	Approve Director Retirement Bonus	Mgmt	For	For	For

### Shimano, Inc.

Meeting Date: 03/29/2023 **Record Date:** 12/31/2022 Primary Security ID: J72262108

Country: Japan Meeting Type: Annual **Ticker:** 7309

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, with a Final Dividend of JPY 142.5	Mgmt	For	For	For
2	Amend Articles to Disclose Shareholder Meeting Materials on Internet	Mgmt	For	For	For
3.1	Elect Director Chia Chin Seng	Mgmt	For	For	For
3.2	Elect Director Ichijo, Kazuo	Mgmt	For	For	For
3.3	Elect Director Katsumaru, Mitsuhiro	Mgmt	For	For	For
3.4	Elect Director Sakakibara, Sadayuki	Mgmt	For	For	For
3.5	Elect Director Wada, Hiromi	Mgmt	For	For	For
4	Approve Restricted Stock Plan	Mgmt	For	For	For

# Chugai Pharmaceutical Co., Ltd.

**Meeting Date:** 03/30/2023 **Record Date:** 12/31/2022

**Country:** Japan **Meeting Type:** Annual **Ticker:** 4519

Primary Security ID: J06930101

Shares Voted: 4,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Approve Allocation of Income, with a Final Dividend of JPY 40	Mgmt	For	For	For	
2.1	Elect Director Tateishi, Fumio	Mgmt	For	For	For	
2.2	Elect Director Teramoto, Hideo	Mgmt	For	For	For	
2.3	Elect Director Christoph Franz	Mgmt	For	For	For	
2.4	Elect Director James H. Sabry	Mgmt	For	For	For	
2.5	Elect Director Teresa A. Graham	Mgmt	For	For	For	
3.1	Appoint Statutory Auditor Yamada, Shigehiro	Mgmt	For	For	For	
3.2	Appoint Statutory Auditor Waseda, Yumiko	Mgmt	For	For	For	

## **SK Innovation Co., Ltd.**

Meeting Date: 03/30/2023 Record Date: 12/31/2022 Primary Security ID: Y8063L103 **Country:** South Korea **Meeting Type:** Annual

**Ticker:** 096770

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements and Allocation of Income	Mgmt	For	For	For
2.1	Elect Kim Jun as Inside Director	Mgmt	For	For	For
2.2	Elect Kim Ju-yeon as Outside Director	Mgmt	For	For	For
2.3	Elect Lee Bok-hui as Outside Director	Mgmt	For	For	For
3	Elect Park Jin-hoe as a Member of Audit Committee	Mgmt	For	For	For
4	Approve Total Remuneration of Inside Directors and Outside Directors	Mgmt	For	Against	Against

## Synopsys, Inc.

**Meeting Date:** 04/12/2023 **Record Date:** 02/13/2023

Country: USA
Meeting Type: Annual

Ticker: SNPS

Primary Security ID: 871607107

Shares Voted: 560

					Snares voted: 560
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Aart J. de Geus	Mgmt	For	For	For
1b	Elect Director Luis Borgen	Mgmt	For	For	For
1c	Elect Director Marc N. Casper	Mgmt	For	For	For
1d	Elect Director Janice D. Chaffin	Mgmt	For	For	For
1e	Elect Director Bruce R. Chizen	Mgmt	For	For	For
1f	Elect Director Mercedes Johnson	Mgmt	For	For	For
1g	Elect Director Jeannine P. Sargent	Mgmt	For	For	For
<b>1</b> h	Elect Director John G. Schwarz	Mgmt	For	For	For
<b>1</b> i	Elect Director Roy Vallee	Mgmt	For	For	For
2	Amend Omnibus Stock Plan	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
5	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
6	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For

# **UPM-Kymmene Oyj**

Meeting Date: 04/12/2023 Record Date: 03/29/2023 Country: Finland
Meeting Type: Annual

Ticker: UPM

Primary Security ID: X9518S108

Shares Voted: 9,222

					Shares voted: 5,222
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
2	Call the Meeting to Order	Mgmt			
3	Designate Inspector or Shareholder Representative(s) of Minutes of Meeting	Mgmt			
4	Acknowledge Proper Convening of Meeting	Mgmt			
5	Prepare and Approve List of Shareholders	Mgmt			
6	Receive Financial Statements and Statutory Reports	Mgmt			
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8	Approve Allocation of Income and Dividends of EUR 1.50 Per Share	Mgmt	For	For	For
9	Approve Discharge of Board and President	Mgmt	For	For	For
10	Approve Remuneration Report	Mgmt	For	For	For
11	Remuneration of Directors in the Amount of EUR 218,000 for Chairman, EUR 145,000 for Deputy Chairman and EUR 120,000 for Other Directors; Approve Compensation for Committee Work	Mgmt	For	For	For
12	Fix Number of Directors at Nine	Mgmt	For	For	For
13	Reelect Henrik Ehrnrooth, Emma FitzGerald, Jari Gustafsson, Piia-Noora Kauppi, Topi Manner, Marjan Oudeman, Martin a Porta and Kim Wahl as Directors; Eelect Pia Aaltonen-Forsell as New Director	Mgmt	For	Against	Against
14	Approve Remuneration of Auditors	Mgmt	For	For	For
15	Ratify PricewaterhouseCoopers as Auditor for FY 2023	Mgmt	For	For	For
16	Ratify Ernst & Young Oy as Auditor for FY 2024	Mgmt	For	For	For
17	Approve Issuance of up to 25 Million Shares without Preemptive Rights	Mgmt	For	For	For
18	Authorize Share Repurchase Program	Mgmt	For	For	For
19	Allow Shareholder Meetings to be Held by Electronic Means Only	Mgmt	For	Against	Against
20	Authorize Charitable Donations	Mgmt	For	For	For
21	Close Meeting	Mgmt			

# **Vestas Wind Systems A/S**

Meeting Date: 04/12/2023 Record Date: 04/05/2023 Primary Security ID: K9773J201 **Country:** Denmark **Meeting Type:** Annual

Ticker: VWS

Shares Voted: 22,154

					•	
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Receive Report of Board	Mgmt				
2	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For	
3	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For	
4	Approve Remuneration Report (Advisory Vote)	Mgmt	For	For	For	
5	Approve Remuneration of Directors in the Amount of DKK 1.4 Million for Chairman, DKK 910,350 for Vice Chairman and DKK 455,175 for Other Directors; Approve Remuneration for Committee Work	Mgmt	For	For	For	
6.a	Reelect Anders Runevad as Director	Mgmt	For	For	For	
6.b	Reelect Bruce Grant as Director	Mgmt	For	For	For	
6.c	Reelect Eva Merete Sofelde Berneke as Director	Mgmt	For	For	For	
6.d	Reelect Helle Thorning-Schmidt as Director	Mgmt	For	For	For	
6.e	Reelect Karl-Henrik Sundstrom as Director	Mgmt	For	For	For	
6.f	Reelect Kentaro Hosomi as Director	Mgmt	For	For	For	
6.g	Reelect Lena Olving as Director	Mgmt	For	For	For	
7	Ratify PricewaterhouseCoopers as Auditor	Mgmt	For	For	For	
8.1	Authorize Share Repurchase Program	Mgmt	For	For	For	
9	Authorize Editorial Changes to Adopted Resolutions in Connection with Registration with Danish Authorities	Mgmt	For	For	For	
10	Other Business	Mgmt				

# **Aker Carbon Capture AS**

**Meeting Date:** 04/18/2023 **Record Date:** 04/17/2023

**Country:** Norway **Meeting Type:** Annual

Ticker: ACC

Primary Security ID: R00762113

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
2	Elect Chairman of Meeting; Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
3	Approve Notice of Meeting and Agenda	Mgmt	For	For	For
4	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
5	Discuss Company's Corporate Governance Statement	Mgmt			
6	Approve Remuneration of Auditors	Mgmt	For	For	For
7	Approve Remuneration Statement	Mgmt	For	Against	Against
8	Approve Instructions for Nominating Committee	Mgmt	For	For	For
9	Elect Members of Nominating Committee	Mgmt	For	For	For
10	Reelect Oscar Fredrik Graff, Nina Kristine Jensen, Liv Monica Bargem Stubholtand Linda Litlekalsoy Aase as Directors	Mgmt	For	For	For
11	Approve Remuneration of Directors in the Amount of NOK 630,000 for Chairman and NOK 325,000 for Other Directors; Approve Meeting Fees; Approve Remuneration for Committee Work	Mgmt	For	For	For
12	Approve Remuneration of Nominating Committee	Mgmt	For	For	For
13	Amend Articles Re: Registration Deadline for Attending General Meetings	Mgmt	For	For	For
14	Approve Creation of Pool of Capital without Preemptive Rights	Mgmt	For	Against	Against
15	Authorize Share Repurchase Program in Connection with Acquisitions, Mergers, Demergers or Other Transactions	Mgmt	For	Against	Against
16	Authorize Share Repurchase Program in Connection with Incentive Plans	Mgmt	For	Against	Against
17	Authorize Share Repurchase Program for the Purpose of Investment or for Subsequent Sale or Deletion of Shares	Mgmt	For	Against	Against

### **West Fraser Timber Co. Ltd.**

Meeting Date: 04/18/2023 Record Date: 02/28/2023 Country: Canada

Meeting Type: Annual/Special

Ticker: WFG

Primary Security ID: 952845105

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Fix Number of Directors at Eleven	Mgmt	For	For	For
2.1	Elect Director Henry H. (Hank) Ketcham	Mgmt	For	For	For
2.2	Elect Director Doyle Beneby	Mgmt	For	For	For
2.3	Elect Director Reid E. Carter	Mgmt	For	For	For
2.4	Elect Director Raymond Ferris	Mgmt	For	For	For
2.5	Elect Director John N. Floren	Mgmt	For	For	For
2.6	Elect Director Ellis Ketcham Johnson	Mgmt	For	For	For
2.7	Elect Director Brian G. Kenning	Mgmt	For	For	For
2.8	Elect Director Marian Lawson	Mgmt	For	For	For
2.9	Elect Director Colleen M. McMorrow	Mgmt	For	For	For
2.10	Elect Director Janice G. Rennie	Mgmt	For	For	For
2.11	Elect Director Gillian D. Winckler	Mgmt	For	For	For
3	Approve PricewaterhouseCoopers LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
4	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For
5	Re-approve Shareholder Rights Plan	Mgmt	For	For	For

## **PowerCell Sweden AB**

**Meeting Date:** 04/19/2023 **Record Date:** 04/11/2023

**Country:** Sweden **Meeting Type:** Annual

**Primary Security ID:** W6698X106

Ticker: PCELL

Shares Voted: 5,800

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting	Mgmt			
2	Elect Chairman of Meeting	Mgmt	For	For	For
3	Prepare and Approve List of Shareholders	Mgmt	For	For	For
4	Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
5	Acknowledge Proper Convening of Meeting	Mgmt	For	For	For
6	Approve Agenda of Meeting	Mgmt	For	For	For
7	Receive President's Report	Mgmt			

## **PowerCell Sweden AB**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
8	Receive Financial Statements and Statutory Reports	Mgmt			
9.a	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
9.b	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For
9.c	Approve Discharge of Board and President	Mgmt	For	For	For
10	Determine Number of Members (7) and Deputy Members (0) of Board	Mgmt	For	For	For
11	Approve Remuneration of Directors in the Amount of SEK 440,000 for Chairman and SEK 220,000 for Other Directors; Approve Remuneration for Committee Work; Approve Remuneration of Auditors	Mgmt	For	For	For
12	Reelect Helen Fasth Gillstedt, Uwe Hillmann, Magnus Jonsson, Annette Malm Justad, Kajsa Ryttberg-Wallgren and Riku-Pekka Hagg as Directors; Elect Nicolas Boutin as New Director	Mgmt	For	Against	Against
13	Ratify PricewaterhouseCoopers as Auditors	Mgmt	For	For	For
14	Approve Nominating Committee Instructions	Mgmt	For	For	For
15	Approve Remuneration Policy And Other Terms of Employment For Executive Management	Mgmt	For	Against	Against
16	Approve Issuance of 5.76 Million Shares without Preemptive Rights	Mgmt	For	Against	Against
17	Authorize Editorial Changes to Adopted Resolutions in Connection with Registration with Swedish Authorities	Mgmt	For	For	For
18	Close Meeting	Mgmt			

### **Humana Inc.**

Meeting Date: 04/20/2023 **Record Date:** 02/28/2023

Country: USA

Meeting Type: Annual

Ticker: HUM

**Primary Security ID:** 444859102

Shares Voted: 452

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Raquel C. Bono	Mgmt	For	For	For
1b	Elect Director Bruce D. Broussard	Mgmt	For	For	For
1c	Elect Director Frank A. D'Amelio	Mgmt	For	For	For
1d	Elect Director David T. Feinberg	Mgmt	For	For	For

## **Humana Inc.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1e	Elect Director Wayne A. I. Frederick	Mgmt	For	For	For
1f	Elect Director John W. Garratt	Mgmt	For	For	For
1g	Elect Director Kurt J. Hilzinger	Mgmt	For	For	For
1h	Elect Director Karen W. Katz	Mgmt	For	For	For
1i	Elect Director Marcy S. Klevorn	Mgmt	For	For	For
1j	Elect Director William J. McDonald	Mgmt	For	For	For
1k	Elect Director Jorge S. Mesquita	Mgmt	For	For	For
11	Elect Director Brad D. Smith	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

## **NEL ASA**

Meeting Date: 04/21/2023

Record Date:

**Country:** Norway **Meeting Type:** Annual

Primary Security ID: R4S21L127

Ticker: NEL

Shares Voted: 162,999

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Open Meeting; Registration of Attending Shareholders and Proxies	Mgmt			
2	Elect Chairman of Meeting; Designate Inspector(s) of Minutes of Meeting	Mgmt	For	For	For
3	Approve Notice of Meeting and Agenda	Mgmt	For	For	For
4	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
5	Discuss Company's Corporate Governance Statement	Mgmt			
6	Approve Remuneration of Directors in the Amount of NOK 700,000 for Chairman and NOK 375,000 for Other Directors	Mgmt	For	For	For
7	Approve Remuneration of Nominating Committee; Approve Remuneration of Members of the Audit Committee; Approve Remuneration of Members of the Remuneration Committee	Mgmt	For	For	For
8	Approve Remuneration of Auditors	Mgmt	For	For	For
9	Approve Remuneration Statement	Mgmt	For	Against	Against

#### **NEL ASA**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
10.1	Approve Creation of NOK 33.4 Million Pool of Capital without Preemptive Rights	Mgmt	For	For	For
10.2	Approve Issuance of Shares in Connection with Incentive Plans	Mgmt	For	For	For
11.1	Authorize Share Repurchase Program in Connection with Incentive Plans	Mgmt	For	Against	Against
11.2	Authorize Share Repurchase Program	Mgmt	For	For	For
12.1	Elect Ole Enger as Director (Chair)	Mgmt	For	For	For
12.2	Elect Hanne Blume as Director	Mgmt	For	For	For
12.3	Elect Charlotta Falvin as Director	Mgmt	For	For	For
12.4	Elect Beatriz Malo de Molina as Director	Mgmt	For	For	For
12.5	Elect Arvid Moss as Director	Mgmt	For	For	For
12.6	Elect Tom Rotjer as Director	Mgmt	For	For	For
12.7	Elect Jens Bjorn Staff as Director	Mgmt	For	For	For
13	Amend Articles Re: Notice of General Meeting	Mgmt	For	For	For
14	Amend Articles Re: Nomination Committee	Mgmt	For	For	For
15.1	Elect Eivind Sars Veddeng (Chair) as Member of Nominating Committee	Mgmt	For	For	For
15.2	Elect Andreas Poole as Member of Nominating Committee	Mgmt	For	For	For

# **Pfizer Inc.**

**Meeting Date:** 04/27/2023

Country: USA Meeting Type: Annual

**Record Date:** 03/01/2023

Primary Security ID: 717081103

Ticker: PFE

Shares Voted: 1,350

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Ronald E. Blaylock	Mgmt	For	For	For
1.2	Elect Director Albert Bourla	Mgmt	For	For	For
1.3	Elect Director Susan Desmond-Hellmann	Mgmt	For	For	For
1.4	Elect Director Joseph J. Echevarria	Mgmt	For	For	For
1.5	Elect Director Scott Gottlieb	Mgmt	For	For	For
1.6	Elect Director Helen H. Hobbs	Mgmt	For	For	For
1.7	Elect Director Susan Hockfield	Mgmt	For	For	For
1.8	Elect Director Dan R. Littman	Mgmt	For	For	For

### **Pfizer Inc.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.9	Elect Director Shantanu Narayen	Mgmt	For	For	For
1.10	Elect Director Suzanne Nora Johnson	Mgmt	For	For	For
1.11	Elect Director James Quincey	Mgmt	For	For	For
1.12	Elect Director James C. Smith	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Submit Severance Agreement (Change-in-Control) to Shareholder Vote	SH	Against	Against	Against
6	Require Independent Board Chair	SH	Against	Against	Against
7	Report on Feasibility of Intellectual Property Transfer to Boost Covid-19 Vaccine Production	SH	Against	Against	Against
8	Report on Impact of Extended Patent Exclusivities on Product Access	SH	Against	For	For
9	Report on Political Expenditures Congruence	SH	Against	Against	Against

### **Umicore**

A.6

Meeting Date: 04/27/2023 Record Date: 04/13/2023 Country: Belgium

Approve Discharge of Auditors

Meeting Type: Annual/Special

Primary Security ID: B95505184

Ticker: UMI

Shares Voted: 2,200

				Voting		
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Policy Rec	Vote Instruction	
Number	гіорозаі техі	Proponent	Rec	Rec	THSC UCCION	
	Annual/Special Meeting Agenda	Mgmt				
	Annual Shareholders' Meeting Agenda	Mgmt				
A.1	Receive Supervisory Board's and Auditors' Reports (Non-Voting)	Mgmt				
A.2	Approve Remuneration Report	Mgmt	For	For	For	
A.3	Approve Financial Statements, Allocation of Income, and Dividends of EUR 0.80 per Share	Mgmt	For	For	For	
A.4	Receive Consolidated Financial Statements and Statutory Reports (Non-Voting)	Mgmt				
A.5	Approve Discharge of Supervisory Board	Mgmt	For	For	For	

For

Mgmt

## **Umicore**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
A.7.1	Reelect Mario Armero as Member of the Supervisory Board	Mgmt	For	For	For
A.7.2	Elect Marc Grynberg as Member of the Supervisory Board	Mgmt	For	For	For
A.8	Approve Remuneration of the Members of the Supervisory Board	Mgmt	For	For	For
	Special Shareholders' Meeting Agenda	Mgmt			
B.1	Approve Change-of-Control Clause Re: Joint Venture Agreement with PowerCo SE and Power HoldCo Lux SA and Sustainability-Linked Note Purchase Agreement	Mgmt	For	For	For

# **Canfor Corporation**

Meeting Date: 05/03/2023 **Record Date:** 03/13/2023

Country: Canada

Meeting Type: Annual

Ticker: CFP

Primary Security ID: 137576104

Shares Voted: 17,362

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Fix Number of Directors at Thirteen	Mgmt	For	For	For
2.1	Elect Director John R. Baird	Mgmt	For	For	For
2.2	Elect Director Ryan Barrington-Foote	Mgmt	For	For	For
2.3	Elect Director Glen D. Clark	Mgmt	For	Withhold	Withhold
2.4	Elect Director Santhe Dahl	Mgmt	For	For	For
2.5	Elect Director Dieter W. Jentsch	Mgmt	For	For	For
2.6	Elect Director Donald B. Kayne	Mgmt	For	For	For
2.7	Elect Director Conrad A. Pinette	Mgmt	For	For	For
2.8	Elect Director M. Dallas H. Ross	Mgmt	For	For	For
2.9	Elect Director Ross S. Smith	Mgmt	For	For	For
2.10	Elect Director Frederick T. Stimpson, III	Mgmt	For	For	For
2.11	Elect Director William W. Stinson	Mgmt	For	Withhold	Withhold
2.12	Elect Director Sandra Stuart	Mgmt	For	For	For
2.13	Elect Director Dianne L. Watts	Mgmt	For	For	For
3	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

# **CF Industries Holdings, Inc.**

Meeting Date: 05/03/2023 Record Date: 03/10/2023 Country: USA
Meeting Type: Annual

Ticker: CF

Primary Security ID: 125269100

Shares Voted: 1,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
Number	Proposal Text	Proponent	Rec	Rec	Instruction
1a	Elect Director Javed Ahmed	Mgmt	For	For	For
1b	Elect Director Robert C. Arzbaecher	Mgmt	For	For	For
1c	Elect Director Deborah L. DeHaas	Mgmt	For	For	For
1d	Elect Director John W. Eaves	Mgmt	For	For	For
1e	Elect Director Stephen J. Hagge	Mgmt	For	For	For
1f	Elect Director Jesus Madrazo Yris	Mgmt	For	For	For
1g	Elect Director Anne P. Noonan	Mgmt	For	For	For
1h	Elect Director Michael J. Toelle	Mgmt	For	For	For
1i	Elect Director Theresa E. Wagler	Mgmt	For	For	For
1j	Elect Director Celso L. White	Mgmt	For	For	For
1k	Elect Director W. Anthony Will	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Amend Certificate of Incorporation to Limit the Liability of Certain Officers	Mgmt	For	For	For
5	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
6	Require Independent Board Chair	SH	Against	For	For

### Moderna, Inc.

Meeting Date: 05/03/2023 Record Date: 03/08/2023 **Country:** USA **Meeting Type:** Annual Ticker: MRNA

Primary Security ID: 60770K107

Shares Voted: 750

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Stephen Berenson	Mgmt	For	For	For
1.2	Elect Director Sandra Horning	Mgmt	For	For	For
1.3	Elect Director Paul Sagan	Mgmt	For	Withhold	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

# Moderna, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
4	Report on Feasibility of Technology Transfer to Boost Covid-19 Vaccine Production	SH	Against	Against	Against	

## Molina Healthcare, Inc.

Meeting Date: 05/03/2023 **Record Date:** 03/08/2023

Country: USA Meeting Type: Annual Ticker: MOH

**Primary Security ID:** 60855R100

Shares Voted: 500

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#### **Schneider Electric SE**

Meeting Date: 05/04/2023 **Record Date:** 05/02/2023

Country: France

Meeting Type: Annual/Special

Ticker: SU

**Primary Security ID:** F86921107

Shares Voted: 2,685

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
	Ordinary Business	Mgmt				
1	Approve Financial Statements and Statutory Reports	Mgmt	For	For	For	
2	Approve Consolidated Financial Statements and Statutory Reports	Mgmt	For	For	For	

## **Schneider Electric SE**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
3	Approve Treatment of Losses and Dividends of EUR 3.15 per Share	Mgmt	For	For	For
4	Approve Auditors' Special Report on Related-Party Transactions Mentioning the Absence of New Transactions	Mgmt	For	For	For
5	Approve Compensation Report of Corporate Officers	Mgmt	For	For	For
6	Approve Compensation of Jean-Pascal Tricoire, Chairman and CEO	Mgmt	For	Against	Against
7	Approve Remuneration Policy of Jean-Pascal Tricoire, Chairman and CEO fom January 1, 2023 until May 3, 2023	Mgmt	For	For	For
8	Approve Remuneration Policy of Peter Herweck, CEO since May 4, 2023	Mgmt	For	For	For
9	Approve Remuneration Policy of Jean-Pascal Tricoire, Chairman of the Board since May 4, 2023	Mgmt	For	For	For
10	Approve Remuneration of Directors in the Aggregate Amount of EUR 2.8 Million	Mgmt	For	For	For
11	Approve Remuneration Policy of Directors	Mgmt	For	For	For
12	Reelect Leo Apotheker as Director	Mgmt	For	For	For
13	Reelect Gregory Spierkel as Director	Mgmt	For	For	For
14	Reelect Lip-Bu Tan as Director	Mgmt	For	For	For
15	Elect Abhay Parasnis as Director	Mgmt	For	For	For
16	Elect Giulia Chierchia as Director	Mgmt	For	For	For
17	Approve Company's Climate Transition Plan	Mgmt	For	For	For
18	Authorize Repurchase of Up to 10 Percent of Issued Share Capital	Mgmt	For	For	For
	Extraordinary Business	Mgmt			
19	Authorize Issuance of Equity or Equity-Linked Securities with Preemptive Rights up to Aggregate Nominal Amount of EUR 800 Million	Mgmt	For	For	For
20	Authorize Issuance of Equity or Equity-Linked Securities without Preemptive Rights up to Aggregate Nominal Amount of EUR 224 Million	Mgmt	For	For	For
21	Approve Issuance of Equity or Equity-Linked Securities for Private Placements up to Aggregate Nominal Amount of EUR 120 Million	Mgmt	For	For	For
22	Authorize Board to Increase Capital in the Event of Additional Demand Related to Delegation Submitted to Shareholder Vote Under Items 19-21	Mgmt	For	For	For

## **Schneider Electric SE**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
23	Authorize Capital Increase of up to 9.81 Percent of Issued Capital for Contributions in Kind	Mgmt	For	For	For
24	Authorize Capitalization of Reserves of Up to EUR 800 Million for Bonus Issue or Increase in Par Value	Mgmt	For	For	For
25	Authorize Capital Issuances for Use in Employee Stock Purchase Plans	Mgmt	For	For	For
26	Authorize Capital Issuances for Use in Employee Stock Purchase Plans Reserved for Employees of International Subsidiaries	Mgmt	For	For	For
27	Authorize Decrease in Share Capital via Cancellation of Repurchased Shares	Mgmt	For	For	For
	Ordinary Business	Mgmt			
28	Authorize Filing of Required Documents/Other Formalities	Mgmt	For	For	For

# **Halozyme Therapeutics, Inc.**

Meeting Date: 05/05/2023 **Record Date:** 03/06/2023

Country: USA

Primary Security ID: 40637H109

Meeting Type: Annual

Ticker: HALO

Shares Voted: 8,043

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1A	Elect Director Bernadette Connaughton	Mgmt	For	For	For
1B	Elect Director Moni Miyashita	Mgmt	For	For	For
1C	Elect Director Matthew L. Posard	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

## First Solar, Inc.

Meeting Date: 05/09/2023 **Record Date:** 03/20/2023

Country: USA

Meeting Type: Annual

Ticker: FSLR

Primary Security ID: 336433107

Shares Voted: 1,282

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Michael J. Ahearn	Mgmt	For	For	For
1.2	Elect Director Richard D. Chapman	Mgmt	For	For	For
1.3	Elect Director Anita Marangoly George	Mgmt	For	For	For
1.4	Elect Director George A. ("Chip") Hambro	Mgmt	For	For	For
1.5	Elect Director Molly E. Joseph	Mgmt	For	For	For
1.6	Elect Director Craig Kennedy	Mgmt	For	For	For
1.7	Elect Director Lisa A. Kro	Mgmt	For	For	For
1.8	Elect Director William J. Post	Mgmt	For	For	For
1.9	Elect Director Paul H. Stebbins	Mgmt	For	For	For
1.10	Elect Director Michael T. Sweeney	Mgmt	For	For	For
1.11	Elect Director Mark R. Widmar	Mgmt	For	For	For
1.12	Elect Director Norman L. Wright	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

# **Elevance Health, Inc.**

Meeting Date: 05/10/2023 **Record Date:** 03/17/2023

Primary Security ID: 036752103

Country: USA Meeting Type: Annual

Frequency

Ratify Ernst & Young LLP as Auditors

Year

For

For

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Gail K. Boudreaux	Mgmt	For	For	For
1.2	Elect Director R. Kerry Clark	Mgmt	For	For	For
1.3	Elect Director Robert L. Dixon, Jr.	Mgmt	For	For	For
1.4	Elect Director Deanna D. Strable	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay	Mgmt	One Year	One	One Year

For

Mgmt

Ticker: ELV

# **Elevance Health, Inc.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Policy Rec	Vote Instruction
5	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For
6	Annually Report Third Party Political Contributions	SH	Against	Against	Against

#### **Nutrien Ltd.**

**Meeting Date:** 05/10/2023 **Record Date:** 03/20/2023

**Country:** Canada **Meeting Type:** Annual Ticker: NTR

**Primary Security ID:** 67077M108

Shares Voted: 900

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Christopher M. Burley	Mgmt	For	For	For
1.2	Elect Director Maura J. Clark	Mgmt	For	For	For
1.3	Elect Director Russell K. Girling	Mgmt	For	For	For
1.4	Elect Director Michael J. Hennigan	Mgmt	For	For	For
1.5	Elect Director Miranda C. Hubbs	Mgmt	For	For	For
1.6	Elect Director Raj S. Kushwaha	Mgmt	For	For	For
1.7	Elect Director Alice D. Laberge	Mgmt	For	For	For
1.8	Elect Director Consuelo E. Madere	Mgmt	For	For	For
1.9	Elect Director Keith G. Martell	Mgmt	For	For	For
1.10	Elect Director Aaron W. Regent	Mgmt	For	For	For
1.11	Elect Director Ken A. Seitz	Mgmt	For	For	For
1.12	Elect Director Nelson L. C. Silva	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For

## **Nucor Corporation**

Meeting Date: 05/11/2023 Record Date: 03/13/2023 **Country:** USA **Meeting Type:** Annual

Ticker: NUE

Primary Security ID: 670346105

Shares Voted: 200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Norma B. Clayton	Mgmt	For	For	For
1.2	Elect Director Patrick J. Dempsey	Mgmt	For	For	For

# **Nucor Corporation**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.3	Elect Director Christopher J. Kearney	Mgmt	For	For	For
1.4	Elect Director Laurette T. Koellner	Mgmt	For	For	For
1.5	Elect Director Michael W. Lamach	Mgmt	For	For	For
1.6	Elect Director Joseph D. Rupp	Mgmt	For	For	For
1.7	Elect Director Leon J. Topalian	Mgmt	For	For	For
1.8	Elect Director Nadja Y. West	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

# **Steel Dynamics, Inc.**

**Meeting Date:** 05/11/2023 **Record Date:** 03/20/2023

Country: USA
Meeting Type: Annual

Ticker: STLD

Primary Security ID: 858119100

Shares Voted: 300

					Shares voted: 500
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Mark D. Millett	Mgmt	For	For	For
1.2	Elect Director Sheree L. Bargabos	Mgmt	For	For	For
1.3	Elect Director Kenneth W. Cornew	Mgmt	For	For	For
1.4	Elect Director Traci M. Dolan	Mgmt	For	For	For
1.5	Elect Director James C. Marcuccilli	Mgmt	For	For	For
1.6	Elect Director Bradley S. Seaman	Mgmt	For	For	For
1.7	Elect Director Gabriel L. Shaheen	Mgmt	For	For	For
1.8	Elect Director Luis M. Sierra	Mgmt	For	For	For
1.9	Elect Director Steven A. Sonnenberg	Mgmt	For	For	For
1.10	Elect Director Richard P. Teets, Jr.	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
5	Approve Omnibus Stock Plan	Mgmt	For	For	For
6	Adopt Majority Voting for Uncontested Election of Directors	Mgmt	For	For	For

# **Zebra Technologies Corporation**

**Meeting Date:** 05/11/2023 **Record Date:** 03/17/2023

**Country:** USA **Meeting Type:** Annual Ticker: ZBRA

Primary Security ID: 989207105

Shares Voted: 1,045

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director William J. Burns	Mgmt	For	For	For
1b	Elect Director Linda M. Connly	Mgmt	For	For	For
1c	Elect Director Anders Gustafsson	Mgmt	For	For	For
1d	Elect Director Janice M. Roberts	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

# Teradyne, Inc.

**Meeting Date:** 05/12/2023 **Record Date:** 03/16/2023

Country: USA
Meeting Type: Annual

Primary Security ID: 880770102

Ticker: TER

Shares Voted: 1,487

					,
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Timothy E. Guertin	Mgmt	For	For	For
1b	Elect Director Peter Herweck	Mgmt	For	For	For
1c	Elect Director Mercedes Johnson	Mgmt	For	For	For
1d	Elect Director Ernest E. Maddock	Mgmt	For	For	For
1e	Elect Director Marilyn Matz	Mgmt	For	For	For
1f	Elect Director Gregory S. Smith	Mgmt	For	For	For
<b>1</b> g	Elect Director Ford Tamer	Mgmt	For	For	For
1h	Elect Director Paul J. Tufano	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

# **Weyerhaeuser Company**

Meeting Date: 05/12/2023 Record Date: 03/10/2023 Country: USA
Meeting Type: Annual

Ticker: WY

Primary Security ID: 962166104

Shares Voted: 2,800

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Mark A. Emmert	Mgmt	For	For	For
1b	Elect Director Rick R. Holley	Mgmt	For	For	For
1c	Elect Director Sara Grootwassink Lewis	Mgmt	For	For	For
1d	Elect Director Deidra C. Merriwether	Mgmt	For	For	For
1e	Elect Director Al Monaco	Mgmt	For	For	For
1f	Elect Director Nicole W. Piasecki	Mgmt	For	For	For
1g	Elect Director Lawrence A. Selzer	Mgmt	For	For	For
1h	Elect Director Devin W. Stockfish	Mgmt	For	For	For
1i	Elect Director Kim Williams	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify KPMG LLP as Auditors	Mgmt	For	For	For

## JPMorgan Chase & Co.

Meeting Date: 05/16/2023 Record Date: 03/17/2023 Country: USA
Meeting Type: Annual

Ticker: JPM

Primary Security ID: 46625H100

Shares Voted: 1,500

					,
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Linda B. Bammann	Mgmt	For	For	For
1b	Elect Director Stephen B. Burke	Mgmt	For	For	For
1c	Elect Director Todd A. Combs	Mgmt	For	For	For
1d	Elect Director James S. Crown	Mgmt	For	For	For
1e	Elect Director Alicia Boler Davis	Mgmt	For	For	For
1f	Elect Director James Dimon	Mgmt	For	For	For
1g	Elect Director Timothy P. Flynn	Mgmt	For	For	For
1h	Elect Director Alex Gorsky	Mgmt	For	For	For
<b>1</b> i	Elect Director Mellody Hobson	Mgmt	For	For	For
1j	Elect Director Michael A. Neal	Mgmt	For	For	For

# JPMorgan Chase & Co.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1k	Elect Director Phebe N. Novakovic	Mgmt	For	For	For
11	Elect Director Virginia M. Rometty	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
5	Require Independent Board Chair	SH	Against	For	For
6	Adopt Time-Bound Policy to Phase Out Underwriting and Lending for New Fossil Fuel Development	SH	Against	Against	Against
7	Amend Public Responsibility Committee Charter to Include Animal Welfare	SH	Against	Against	Against
8	Reduce Ownership Threshold for Shareholders to Call Special Meeting	SH	Against	For	For
9	Report on Climate Transition Plan Describing Efforts to Align Financing Activities with GHG Targets	SH	Against	For	For
10	Report on Risks Related to Discrimination Against Individuals Including Political Views	SH	Against	Against	Against
11	Report on Political Expenditures Congruence	SH	Against	For	For
12	Disclose 2030 Absolute GHG Reduction Targets Associated with Lending and Underwriting	SH	Against	Against	Against

# **Enphase Energy, Inc.**

Meeting Date: 05/17/2023 **Record Date:** 03/21/2023

Primary Security ID: 29355A107

Country: USA Meeting Type: Annual

Shares Voted: 2,725

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Jamie Haenggi	Mgmt	For	For	For
1.2	Elect Director Benjamin Kortlang	Mgmt	For	For	For
1.3	Elect Director Richard Mora	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

Ticker: ENPH

# **Neurocrine Biosciences, Inc.**

**Meeting Date:** 05/17/2023 **Record Date:** 03/20/2023

Country: USA
Meeting Type: Annual

Ticker: NBIX

Primary Security ID: 64125C109

Shares Voted: 130

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Kevin C. Gorman	Mgmt	For	For	For
1.2	Elect Director Gary A. Lyons	Mgmt	For	For	For
1.3	Elect Director Johanna Mercier	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

# **Vertex Pharmaceuticals Incorporated**

**Meeting Date:** 05/17/2023 **Record Date:** 03/23/2023

Country: USA
Meeting Type: Annual

Ticker: VRTX

Primary Security ID: 92532F100

Shares Voted: 2,069

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Sangeeta Bhatia	Mgmt	For	For	For
1.2	Elect Director Lloyd Carney	Mgmt	For	For	For
1.3	Elect Director Alan Garber	Mgmt	For	For	For
1.4	Elect Director Terrence Kearney	Mgmt	For	For	For
1.5	Elect Director Reshma Kewalramani	Mgmt	For	For	For
1.6	Elect Director Jeffrey Leiden	Mgmt	For	For	For
1.7	Elect Director Diana McKenzie	Mgmt	For	For	For
1.8	Elect Director Bruce Sachs	Mgmt	For	For	For
1.9	Elect Director Suketu Upadhyay	Mgmt	For	For	For
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

## **Wacker Chemie AG**

Meeting Date: 05/17/2023 Record Date: 04/25/2023 Country: Germany
Meeting Type: Annual

Ticker: WCH

Primary Security ID: D9540Z106

Shares Voted: 500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
Number	Рторозаг техс	Proponent	Rec	Rec	Tilsti uction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2022 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Dividends of EUR 12.00 per Share	Mgmt	For	For	For
3	Approve Discharge of Management Board for Fiscal Year 2022	Mgmt	For	For	For
4	Approve Discharge of Supervisory Board for Fiscal Year 2022	Mgmt	For	For	For
5	Ratify KPMG AG as Auditors for Fiscal Year 2023 and for the Review of Interim Financial Statements of Fiscal Year 2023	Mgmt	For	For	For
6	Ratify PricewaterhouseCoopers GmbH as Auditors for Fiscal Year 2024 and for the Review of Interim Financial Statements of Fiscal Year 2024	Mgmt	For	For	For
7.1	Elect Andreas Biagosch to the Supervisory Board	Mgmt	For	Against	Against
7.2	Elect Gregor Biebl to the Supervisory Board	Mgmt	For	Against	Against
7.3	Elect Matthias Biebl to the Supervisory Board	Mgmt	For	Against	Against
7.4	Elect Patrick Cramer to the Supervisory Board	Mgmt	For	Against	Against
7.5	Elect Ann-Sophie Wacker to the Supervisory Board	Mgmt	For	Against	Against
7.6	Elect Peter-Alexander Wacker to the Supervisory Board	Mgmt	For	Against	Against
7.7	Elect Anna Weber to the Supervisory Board	Mgmt	For	Against	Against
7.8	Elect Susanne Weiss to the Supervisory Board	Mgmt	For	Against	Against
8.1	Approve Virtual-Only Shareholder Meetings Until 2028	Mgmt	For	For	For
8.2	Amend Articles Re: Participation of Supervisory Board Members in the Annual General Meeting by Means of Audio and Video Transmission	Mgmt	For	For	For
8.3	Amend Article Re: Location of Annual Meeting	Mgmt	For	For	For
9	Approve Remuneration Policy	Mgmt	For	Against	Against
10	Approve Remuneration Report	Mgmt	For	Against	Against

# **Power Integrations, Inc.**

Meeting Date: 05/19/2023 Record Date: 03/24/2023 **Country:** USA **Meeting Type:** Annual Ticker: POWI

Primary Security ID: 739276103

Shares Voted: 1,539

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Wendy Arienzo	Mgmt	For	For	For
1.2	Elect Director Balu Balakrishnan	Mgmt	For	For	For
1.3	Elect Director Nicholas E. Brathwaite	Mgmt	For	For	For
1.4	Elect Director Anita Ganti	Mgmt	For	For	For
1.5	Elect Director Nancy Gioia	Mgmt	For	For	For
1.6	Elect Director Balakrishnan S. Iyer	Mgmt	For	For	For
1.7	Elect Director Ravi Vig	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	None	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

# FuelCell Energy, Inc.

Meeting Date: 05/22/2023
Record Date: 02/10/2023

Country: USA
Meeting Type: Annual

Ticker: FCEL

Primary Security ID: 35952H601

Shares Voted: 41,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director James H. England	Mgmt	For	For	For
1b	Elect Director Jason Few	Mgmt	For	For	For
1c	Elect Director Matthew F. Hilzinger	Mgmt	For	For	For
1d	Elect Director Natica von Althann	Mgmt	For	For	For
1e	Elect Director Cynthia Hansen	Mgmt	For	For	For
1f	Elect Director Donna Sims Wilson	Mgmt	For	For	For
1g	Elect Director Betsy Bingham	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	For
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Increase Authorized Common Stock	Mgmt	For	For	For

# FuelCell Energy, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
6	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
7	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

## **GXO** Logistics, Inc.

Meeting Date: 05/24/2023 Record Date: 04/13/2023 **Country:** USA **Meeting Type:** Annual Ticker: GXO

Primary Security ID: 36262G101

Shares Voted: 5,700

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Clare Chatfield	Mgmt	For	For	For
1.2	Elect Director Joli L. Gross	Mgmt	For	For	For
1.3	Elect Director Jason D. Papastavrou	Mgmt	For	For	For
2	Ratify KPMG LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

### Nanya Technology Corp.

**Meeting Date:** 05/24/2023 **Record Date:** 03/24/2023

Country: Taiwan
Meeting Type: Annual

Ticker: 2408

Primary Security ID: Y62066108

Shares Voted: 97,700

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Financial Statements	Mgmt	For	For	For
2	Approve Plan on Profit Distribution	Mgmt	For	For	For
3	Approve Release of Restrictions of Competitive Activities of Directors	Mgmt	For	For	For

#### **NXP Semiconductors N.V.**

Meeting Date: 05/24/2023 Record Date: 04/26/2023 **Country:** Netherlands **Meeting Type:** Annual

Ticker: NXPI

**Primary Security ID:** N6596X109

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Adopt Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Discharge of Board Members	Mgmt	For	For	For
3a	Reelect Kurt Sievers as Executive Director	Mgmt	For	For	For
3b	Reelect Annette Clayton as Non-Executive Director	Mgmt	For	For	For
3c	Reelect Anthony Foxx as Non-Executive Director	Mgmt	For	For	For
3d	Reelect Chunyuan Gu as Non-Executive Director	Mgmt	For	For	For
3e	Reelect Lena Olving as Non-Executive Director	Mgmt	For	For	For
3f	Reelect Julie Southern as Non-Executive Director	Mgmt	For	For	For
3g	Reelect Jasmin Staiblin as Non-Executive Director	Mgmt	For	For	For
3h	Reelect Gregory Summe as Non-Executive Director	Mgmt	For	For	For
3i	Reelect Karl-Henrik Sundstrom as Non-Executive Director	Mgmt	For	For	For
3j	Reelect Moshe Gavrielov as Non-Executive Director	Mgmt	For	For	For
4	Grant Board Authority to Issue Shares Up To 10 Percent of Issued Capital	Mgmt	For	For	For
5	Authorize Board to Exclude Preemptive Rights from Share Issuances	Mgmt	For	For	For
6	Authorize Share Repurchase Program	Mgmt	For	For	For
7	Approve Cancellation of Ordinary Shares	Mgmt	For	For	For
8	Ratify Ernst & Young Accountants LLP as Auditors	Mgmt	For	For	For
9	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

# **SMA Solar Technology AG**

Meeting Date: 05/24/2023 Record Date: 05/02/2023 **Country:** Germany **Meeting Type:** Annual

Ticker: S92

Primary Security ID: D7008K108

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2022 (Non-Voting)	Mgmt			
2	Approve Allocation of Income and Omission of Dividends	Mgmt	For	For	For
3.1	Approve Discharge of Management Board Member Barbara Gregor for Fiscal Year 2022	Mgmt	For	For	For
3.2	Approve Discharge of Management Board Member Ulrich Hadding for Fiscal Year 2022	Mgmt	For	For	For
3.3	Approve Discharge of Management Board Member Thomas Pixa for Fiscal Year 2022	Mgmt	For	For	For
3.4	Approve Discharge of Management Board Member Juergen Reinert for Fiscal Year 2022	Mgmt	For	For	For
4.1	Approve Discharge of Supervisory Board Member Roland Bent for Fiscal Year 2022	Mgmt	For	For	For
4.2	Approve Discharge of Supervisory Board Member Martin Breul for Fiscal Year 2022	Mgmt	For	For	For
4.3	Approve Discharge of Supervisory Board Member Oliver Dietzel for Fiscal Year 2022	Mgmt	For	For	For
4.4	Approve Discharge of Supervisory Board Member Kim Fausing for Fiscal Year 2022	Mgmt	For	For	For
4.5	Approve Discharge of Supervisory Board Member Johannes Haede for Fiscal Year 2022	Mgmt	For	For	For
4.6	Approve Discharge of Supervisory Board Member Alexa Hergenroether for Fiscal Year 2022	Mgmt	For	For	For
4.7	Approve Discharge of Supervisory Board Member Uwe Kleinkauf for Fiscal Year 2022	Mgmt	For	For	For
4.8	Approve Discharge of Supervisory Board Member Ilonka Nussbaumer for Fiscal Year 2022	Mgmt	For	For	For
4.9	Approve Discharge of Supervisory Board Member Yvonne Siebert for Fiscal Year 2022	Mgmt	For	For	For
4.10	Approve Discharge of Supervisory Board Member Romy Siegert for Fiscal Year 2022	Mgmt	For	For	For
4.11	Approve Discharge of Supervisory Board Member Jan-Henrik Supady for Fiscal Year 2022	Mgmt	For	For	For

# **SMA Solar Technology AG**

				Voting		
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Policy Rec	Vote Instruction	
4.12	Approve Discharge of Supervisory Board Member Matthias Victor for Fiscal Year 2022	Mgmt	For	For	For	
5	Ratify BDO AG as Auditors for Fiscal Year 2023 and for the Review of Interim Financial Statements for the First Half of Fiscal Year 2023	Mgmt	For	For	For	
6	Approve Remuneration Report	Mgmt	For	For	For	
7	Approve Creation of EUR 3.4 Million Pool of Authorized Capital with or without Exclusion of Preemptive Rights	Mgmt	For	For	For	
8	Approve Virtual-Only Shareholder Meetings Until 2028; Amend Articles Re: Participation of Supervisory Board Members in the Annual General Meeting by Means of Audio and Video Transmission	Mgmt	For	Against	Against	
9	Approve Remuneration Policy for the Supervisory Board	Mgmt	For	For	For	
10	Approve Remuneration Policy for the Management Board	Mgmt	For	Against	Against	

# **Sensata Technologies Holding Plc**

**Meeting Date:** 05/25/2023 **Record Date:** 03/30/2023

**Country:** United Kingdom **Meeting Type:** Annual

Primary Security ID: G8060N102

Ticker: ST

Shares Voted: 6,933

					Silares voccar 6,555
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Andrew C. Teich	Mgmt	For	For	For
1b	Elect Director Jeffrey J. Cote	Mgmt	For	For	For
1c	Elect Director John P. Absmeier	Mgmt	For	For	For
1d	Elect Director Daniel L. Black	Mgmt	For	For	For
1e	Elect Director Lorraine A. Bolsinger	Mgmt	For	For	For
1f	Elect Director Constance E. Skidmore	Mgmt	For	For	For
1g	Elect Director Steven A. Sonnenberg	Mgmt	For	For	For
1h	Elect Director Martha N. Sullivan	Mgmt	For	For	For
1i	Elect Director Stephen M. Zide	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
4	Approve Director Compensation Report	Mgmt	For	For	For

# **Sensata Technologies Holding Plc**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
5	Ratify Deloitte & Touche LLP as U.K. Statutory Auditor	Mgmt	For	For	For
6	Authorise Board to Fix Remuneration of Auditors	Mgmt	For	For	For
7	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
8	Authorize Directed Share Repurchase Program	Mgmt	For	For	For
9	Authorize Issue of Equity	Mgmt	For	For	For
10	Authorize Issue of Equity without Pre-emptive Rights	Mgmt	For	For	For
11	Authorize the Board of Directors to Allot Shares Under the Equity Incentive Plans	Mgmt	For	For	For
12	Authorize the Board of Directors to Allot Shares Under the Equity Incentive Plans without Pre-emptive Rights	Mgmt	For	For	For

## Seagen Inc.

**Meeting Date:** 05/30/2023 **Record Date:** 04/17/2023

Country: USA

Meeting Type: Special

Ticker: SGEN

Primary Security ID: 81181C104

Shares Voted: 665

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Merger Agreement	Mgmt	For	For	For
2	Advisory Vote on Golden Parachutes	Mgmt	For	Against	Against

## **Unimicron Technology Corp.**

**Meeting Date:** 05/30/2023 **Record Date:** 03/31/2023

**Country:** Taiwan **Meeting Type:** Annual

Ticker: 3037

Primary Security ID: Y90668107

Shares Voted: 36,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Plan on Profit Distribution	Mgmt	For	For	For
	ELECT NON-INDEPENDENT DIRECTORS AND INDEPENDENT DIRECTORS VIA CUMULATIVE VOTING	Mgmt			

# **Unimicron Technology Corp.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
3.1	Elect TZYY-JANG TSENG, a Representative of UNITED MICROELECTRONICS CO., with SHAREHOLDER NO.3, as Non-independent Director	Mgmt	For	For	For	
3.2	Elect SC CHIEN, a Representative of UNITED MICROELECTRONICS CO., with SHAREHOLDER NO.3, as Non-independent Director	Mgmt	For	For	For	
3.3	Elect CHI-TUNG LIU, a Representative of UNITED MICROELECTRONICS CO., with SHAREHOLDER NO.3, as Non-independent Director	Mgmt	For	For	For	
3.4	Elect TIMOTHY LAN, a Representative of HSUN CHIEH CORP. LTD., with SHAREHOLDER NO.22084, as Non-independent Director	Mgmt	For	For	For	
3.5	Elect MIKE MA, a Representative of YANN YUAN INVESTMENT CO., LTD., with SHAREHOLDER NO.306088, as Non-independent Director	Mgmt	For	For	For	
3.6	Elect TING-YU LIN, with SHAREHOLDER NO.A122296XXX as Non-independent Director	Mgmt	For	For	For	
3.7	Elect GRACE LI, with SHAREHOLDER NO.Y220060XXX as Independent Director	Mgmt	For	For	For	
3.8	Elect LAI-JUH CHEN, with SHAREHOLDER NO.A121498XXX as Independent Director	Mgmt	For	For	For	
3.9	Elect TERRY WANG, with SHAREHOLDER NO.T121833XXX as Independent Director	Mgmt	For	For	For	
4	Approve Issuance of Restricted Stocks	Mgmt	For	For	For	
5	Approve Release of Restrictions of Competitive Activities of Directors	Mgmt	For	For	For	
6	Approve Release of Restrictions of Competitive Activities of Newly Appointed Directors	Mgmt	For	For	For	

# **Chipbond Technology Corp.**

Meeting Date: 05/31/2023 Record Date: 03/31/2023 **Country:** Taiwan **Meeting Type:** Annual

Ticker: 6147

**Primary Security ID:** Y15657102

Shares Voted: 80,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Plan on Profit Distribution	Mgmt	For	For	For

# **Chipbond Technology Corp.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Policy Rec	Vote Instruction	
3	Approve Issuance of Restricted Stocks	Mamt	For	Against	Against	

### **ELAN Microelectronics Corp.**

Meeting Date: 05/31/2023 **Record Date:** 03/31/2023

Country: Taiwan Meeting Type: Annual

Ticker: 2458

**Primary Security ID:** Y2268H108

Shares Voted: 38,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Approve Financial Statements	Mgmt	For	For	For	
2	Approve Amendments to Articles of Association	Mgmt	For	For	For	

## **Exelixis, Inc.**

Meeting Date: 05/31/2023 **Record Date:** 04/03/2023

Country: USA

Meeting Type: Proxy Contest

Ticker: EXEL

Primary Security ID: 30161Q104

Shares Voted: 17,173

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	Management Proxy (Gold Proxy Card)	Mgmt			
	From the Combined List of Management & Dissident Nominees - Elect 11 Directors	Mgmt			
1a	Elect Management Nominee Director Maria C. Freire	Mgmt	For	Do Not Vote	Do Not Vote
1b	Elect Management Nominee Director Alan M. Garber	Mgmt	For	Do Not Vote	Do Not Vote
1c	Elect Management Nominee Director Michael M. Morrissey	Mgmt	For	Do Not Vote	Do Not Vote
1d	Elect Management Nominee Director Stelios Papadopoulos	Mgmt	For	Do Not Vote	Do Not Vote
1e	Elect Management Nominee Director George Poste	Mgmt	For	Do Not Vote	Do Not Vote
1f	Elect Management Nominee Director Julie Anne Smith	Mgmt	For	Do Not Vote	Do Not Vote
1g	Elect Management Nominee Director Lance Willsey *Withdrawn Resolution*	Mgmt			
1h	Elect Management Nominee Director Jacqueline Wright	Mgmt	For	Do Not Vote	Do Not Vote
1i	Elect Management Nominee Director Jack L. Wyszomierski	Mgmt	For	Do Not Vote	Do Not Vote

# Exelixis, Inc.

EXCIIXIS/ III					
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1j	Elect Opposition Nominee Director Tomas J. Heyman	SH	For	Do Not Vote	Do Not Vote
1k	Elect Opposition Nominee Director Robert 'Bob' Oliver, Jr.	SH	For	Do Not Vote	Do Not Vote
11	Elect Opposition Nominee Director David E. Johnson	SH	None	Do Not Vote	Do Not Vote
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	Do Not Vote	Do Not Vote
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Do Not Vote	Do Not Vote
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	Do Not Vote	Do Not Vote
	Dissident Universal Proxy (White Proxy Card)	Mgmt			
	From the Combined List of Management & Dissident Nominees - Elect 11 Directors	Mgmt			
1.1	Elect Opposition Nominee Director Tomas J. Heyman	SH	For	For	For
1.2	Elect Opposition Nominee Director David E. Johnson	SH	For	For	For
1.3	Elect Opposition Nominee Director Robert 'Bob' Oliver, Jr.	SH	For	For	For
1.4	Elect Management Nominee Director Maria C. Freire	Mgmt	None	For	For
1.5	Elect Management Nominee Director Alan M. Garber	Mgmt	None	For	For
1.6	Elect Management Nominee Director Michael M. Morrissey	Mgmt	None	For	For
1.7	Elect Management Nominee Director Stelios Papadopoulos	Mgmt	None	For	For
1.8	Elect Management Nominee Director George Poste	Mgmt	None	For	For
1.9	Elect Management Nominee Director Julie Anne Smith	Mgmt	None	For	For
1.10	Elect Management Nominee Director Jacqueline Wright	Mgmt	None	For	For
1.11	Elect Management Nominee Director Jack L. Wyszomierski	Mgmt	None	For	For
1.12	Elect Management Nominee Director Lance Willsey *Withdrawn Resolution*	Mgmt			
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	Against	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

# **Exxon Mobil Corporation**

**Meeting Date:** 05/31/2023 **Record Date:** 04/05/2023

Country: USA
Meeting Type: Annual

Ticker: XOM

Primary Security ID: 30231G102

Shares Voted: 5,400

					Shares Voted: 5,400
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Michael J. Angelakis	Mgmt	For	For	For
1.2	Elect Director Susan K. Avery	Mgmt	For	For	For
1.3	Elect Director Angela F. Braly	Mgmt	For	For	For
1.4	Elect Director Gregory J. Goff	Mgmt	For	For	For
1.5	Elect Director John D. Harris, II	Mgmt	For	For	For
1.6	Elect Director Kaisa H. Hietala	Mgmt	For	For	For
1.7	Elect Director Joseph L. Hooley	Mgmt	For	For	For
1.8	Elect Director Steven A. Kandarian	Mgmt	For	For	For
1.9	Elect Director Alexander A. Karsner	Mgmt	For	For	For
1.10	Elect Director Lawrence W. Kellner	Mgmt	For	For	For
1.11	Elect Director Jeffrey W. Ubben	Mgmt	For	For	For
1.12	Elect Director Darren W. Woods	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Establish Board Committee on Decarbonization Risk	SH	Against	Against	Against
6	Reduce Executive Stock Holding Period	SH	Against	Against	Against
7	Report on Carbon Capture and Storage	SH	Against	Against	Against
8	Report on Methane Emission Disclosure Reliability	SH	Against	For	For
9	Adopt Medium-Term Scope 3 GHG Reduction Target	SH	Against	Against	Against
10	Issue a Report on Worst-Case Impacts of Oil Spills from Operations Offshore of Guyana	SH	Against	Against	Against
11	Recalculate GHG Emissions Baseline to Exclude Emissions from Material Divestitures	SH	Against	Against	Against
12	Report on Asset Retirement Obligations Under IEA NZE Scenario	SH	Against	Against	Against
13	Commission Audited Report on Reduced Plastics Demand	SH	Against	For	For
14	Report on Potential Costs of Environmental Litigation	SH	Against	Against	Against

# **Exxon Mobil Corporation**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
15	Publish a Tax Transparency Report	SH	Against	Against	Against	
16	Report on Social Impact From Plant Closure or Energy Transition	SH	Against	Against	Against	
17	Report on Benefits and Risks of Commitment to Not Develop Projects in the Arctic *Withdrawn Resolution*	SH				

#### MediaTek, Inc.

**Meeting Date:** 05/31/2023 **Record Date:** 03/31/2023

**Primary Security ID:** Y5945U103

**Country:** Taiwan **Meeting Type:** Annual

Ticker: 2454

Shares Voted: 6,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For	
2	Approve Profit Distribution	Mgmt	For	For	For	
3	Approve Amendments to Articles of Association	Mgmt	For	For	For	
	ELECT INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING	Mgmt				
4.1	Elect Syaru Shirley Lin, with ID NO.A222291XXX, as Independent Director	Mgmt	For	For	For	
5	Approve Release of Restrictions of Competitive Activities of Directors	Mgmt	For	For	For	

### **Novatek Microelectronics Corp.**

**Meeting Date:** 05/31/2023 **Record Date:** 03/31/2023

**Country:** Taiwan **Meeting Type:** Annual

Ticker: 3034

**Primary Security ID:** Y64153102

Shares Voted: 19,700

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Plan on Profit Distribution	Mgmt	For	For	For
3	Approve Amendments to Articles of Association	Mgmt	For	For	For

### Seagen Inc.

Meeting Date: 05/31/2023 **Record Date:** 04/03/2023

Country: USA Meeting Type: Annual Ticker: SGEN

Primary Security ID: 81181C104

Shares Voted: 665

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director David W. Gryska	Mgmt	For	For	For
1b	Elect Director John A. Orwin	Mgmt	For	For	For
1c	Elect Director Alpna H. Seth	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

### **United Microelectronics Corp.**

Meeting Date: 05/31/2023 **Record Date:** 03/31/2023

Country: Taiwan

Meeting Type: Annual

Ticker: 2303

**Primary Security ID:** Y92370108

Shares Voted: 279,800

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Plan on Profit Distribution	Mgmt	For	For	For

### **SolarEdge Technologies, Inc.**

Meeting Date: 06/01/2023 **Record Date:** 04/03/2023

Country: USA Meeting Type: Annual Ticker: SEDG

Primary Security ID: 83417M104

Shares Voted: 1,591

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1a	Elect Director Marcel Gani	Mgmt	For	For	For	
1b	Elect Director Tal Payne	Mgmt	For	For	For	
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For	
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For	

# **SolarEdge Technologies, Inc.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Declassify the Board of Directors	Mgmt	For	For	For
6	Eliminate Supermajority Vote Requirement	Mgmt	For	For	For
7	Amend Certificate of Incorporation to Add Federal Forum Selection Provision	Mgmt	For	For	For

#### **Trimble Inc.**

Meeting Date: 06/01/2023 **Record Date:** 04/03/2023

Country: USA

Meeting Type: Annual

Primary Security ID: 896239100

Ticker: TRMB

Shares Voted: 4,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director James C. Dalton	Mgmt	For	For	For
1.2	Elect Director Borje Ekholm	Mgmt	For	For	For
1.3	Elect Director Ann Fandozzi	Mgmt	For	For	For
1.4	Elect Director Kaigham (Ken) Gabriel	Mgmt	For	For	For
1.5	Elect Director Meaghan Lloyd	Mgmt	For	For	For
1.6	Elect Director Sandra MacQuillan	Mgmt	For	For	For
1.7	Elect Director Robert G. Painter	Mgmt	For	For	For
1.8	Elect Director Mark S. Peek	Mgmt	For	For	For
1.9	Elect Director Thomas Sweet	Mgmt	For	For	For
1.10	Elect Director Johan Wibergh	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

### **Xinyi Energy Holdings Limited**

Meeting Date: 06/02/2023 **Record Date:** 05/29/2023

Country: Virgin Isl (UK) Meeting Type: Annual

Ticker: 3868

Primary Security ID: G9833A104

Shares Voted: 313,624

Shares Voted: 313,624

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3A1	Elect Lee Shing Put as Director	Mgmt	For	For	For
3A2	Elect Lyu Fang as Director	Mgmt	For	For	For
3B	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5A	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
5B	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
6	Approve Amendments to the Existing Memorandum and Articles of Association and Adopt Amended and Restated Memorandum and Articles of Association	Mgmt	For	For	For

### **Xinyi Energy Holdings Limited**

**Meeting Date:** 06/02/2023 **Record Date:** 05/29/2023

**Country:** Virgin Isl (UK) **Meeting Type:** Extraordinary

Shareholders

Primary Security ID: G9833A104

Ticker: 3868

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Approve Exercise of the Call Option (Group 4) Pursuant to the Solar Farm Agreement, Call Notice (Group 4), Solar Farm (Group 4) Agreement and Related Transactions	Mgmt	For	For	For	

### **Xinyi Solar Holdings Limited**

Meeting Date: 06/02/2023 Record Date: 05/29/2023 Primary Security ID: G9829N102 **Country:** Cayman Islands **Meeting Type:** Annual Ticker: 968

Shares Voted: 161,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Accept Financial Statements and Statutory Reports	Mgmt	For	For	For
2	Approve Final Dividend	Mgmt	For	For	For
3A1	Elect Lee Yin Yee as Director	Mgmt	For	For	For
3A2	Elect Lee Yau Ching as Director	Mgmt	For	For	For
3A3	Elect Tung Ching Sai as Director	Mgmt	For	For	For
3B	Authorize Board to Fix Remuneration of Directors	Mgmt	For	For	For
4	Approve PricewaterhouseCoopers as Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
5A	Authorize Repurchase of Issued Share Capital	Mgmt	For	For	For
5B	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
5C	Authorize Reissuance of Repurchased Shares	Mgmt	For	Against	Against
6	Elect Chu Charn Fai as Director	Mgmt	For	For	For

# Flat Glass Group Co., Ltd.

**Meeting Date:** 06/06/2023

Country: China

**Record Date:** 05/31/2023

Meeting Type: Annual

**Primary Security ID:** Y2575W103

Shares Voted: 43,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Report of the Board	Mgmt	For	For	For
2	Approve Report of the Supervisory Committee	Mgmt	For	For	For
3	Approve Audited Consolidated Financial Statements	Mgmt	For	For	For
4	Approve Annual Report and Annual Results	Mgmt	For	For	For
5	Approve Final Accounts Report	Mgmt	For	For	For
6	Approve Financial Budget Report	Mgmt	For	Against	Against
7	Approve Profit Distribution Plan	Mgmt	For	For	For

Ticker: 6865

### Flat Glass Group Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
8	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP in the PRC as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For	
9	Approve Remuneration of Directors	Mgmt	For	For	For	
10	Approve Remuneration of Supervisors	Mgmt	For	For	For	
11	Approve Environmental, Social and Governance Report	Mgmt	For	For	For	
12	Approve Provision of Guarantees for Its Potential Credit Facility and Related Transactions	Mgmt	For	Against	Against	
13	Approve Implementation of Daily Related Party Transactions for 2022 and the Estimate on Daily Related Party Transactions for 2023	Mgmt	For	For	For	
14	Amend Articles of Association	Mgmt	For	Against	Against	
15	Authorize Board to Make Changes in Industrial and Commercial Registration and Make Relevant Adjustments and Revision to the Articles of Association	Mgmt	For	For	For	
16	Amend Rules and Procedures Regarding General Meetings of Shareholders	Mgmt	For	For	For	

#### **Realtek Semiconductor Corp.**

Meeting Date: 06/06/2023 Record Date: 04/07/2023 **Country:** Taiwan **Meeting Type:** Annual

**Primary Security ID:** Y7220N101

Ticker: 2379

Shares Voted: 14,700

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Plan on Profit Distribution	Mgmt	For	For	For

### Taiwan Semiconductor Manufacturing Co., Ltd.

Meeting Date: 06/06/2023 Record Date: 04/07/2023 **Country:** Taiwan **Meeting Type:** Annual

**Ticker:** 2330

**Primary Security ID:** Y84629107

# **Taiwan Semiconductor Manufacturing Co., Ltd.**

Shares Voted: 49,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Issuance of Restricted Stocks	Mgmt	For	For	For
3	Amend Procedures for Endorsement and Guarantees	Mgmt	For	Against	Against
4	Amend the Name of Audit Committee in the Policies (I)Procedures for Acquisition or Disposal of Assets (II)Procedures for Financial Derivatives Transactions (III)Procedures for Lending Funds to Other Parties (IV)Procedures for Endorsement and Guarantee	Mgmt	For	For	For

### **Ballard Power Systems Inc.**

**Meeting Date:** 06/07/2023 **Record Date:** 04/10/2023

**Country:** Canada **Meeting Type:** Annual Ticker: BLDP

Primary Security ID: 058586108

Shares Voted: 8,000

					Shares voted: 0,000
Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1A	Elect Director Kathy Bayless	Mgmt	For	For	For
1B	Elect Director Douglas P. Hayhurst	Mgmt	For	For	For
1C	Elect Director Kui (Kevin) Jiang	Mgmt	For	For	For
1D	Elect Director Randy MacEwen	Mgmt	For	For	For
1E	Elect Director Hubertus M. Muehlhaeuser	Mgmt	For	For	For
1F	Elect Director Marty Neese	Mgmt	For	For	For
1G	Elect Director James Roche	Mgmt	For	For	For
1H	Elect Director Shaojun (Sherman) Sun	Mgmt	For	For	For
1I	Elect Director Janet Woodruff	Mgmt	For	Withhold	Withhold
2	Approve KPMG LLP as Auditors and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
3	Advisory Vote on Executive Compensation Approach	Mgmt	For	For	For

# **Luminar Technologies, Inc.**

Meeting Date: 06/07/2023 Record Date: 04/10/2023 Country: USA
Meeting Type: Annual

Ticker: LAZR

Primary Security ID: 550424105

Shares Voted: 35,214

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Austin Russell	Mgmt	For	Withhold	Withhold
1.2	Elect Director Mary Lou Jepsen	Mgmt	For	Withhold	Withhold
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
4	Amend Certificate of Incorporation to Reflect New Delaware Law Provisions Regarding Officer Exculpation	Mgmt	For	Against	Against

### **QuantumScape Corporation**

**Meeting Date:** 06/07/2023 **Record Date:** 04/13/2023

**Country:** USA **Meeting Type:** Annual Ticker: QS

**Primary Security ID:** 74767V109

Shares Voted: 2,400

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Jagdeep Singh	Mgmt	For	For	For
1.2	Elect Director Frank Blome	Mgmt	For	For	For
1.3	Elect Director Brad Buss	Mgmt	For	For	For
1.4	Elect Director Jeneanne Hanley	Mgmt	For	For	For
1.5	Elect Director Susan Huppertz	Mgmt	For	For	For
1.6	Elect Director Jurgen Leohold	Mgmt	For	Withhold	Withhold
1.7	Elect Director Gena Lovett	Mgmt	For	Withhold	Withhold
1.8	Elect Director Fritz Prinz	Mgmt	For	For	For
1.9	Elect Director Dipender Saluja	Mgmt	For	Withhold	Withhold
1.10	Elect Director JB Straubel	Mgmt	For	For	For
1.11	Elect Director Jens Wiese	Mgmt	For	Withhold	Withhold
2	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against

### **BYD Company Limited**

**Meeting Date:** 06/08/2023 **Record Date:** 06/01/2023

Country: China
Meeting Type: Annual

Ticker: 1211

Primary Security ID: Y1023R104

Shares Voted: 9,838

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
	AGM BALLOT FOR HOLDERS OF H SHARES	Mgmt			
1	Approve Report of the Board of Directors	Mgmt	For	For	For
2	Approve Report of the Supervisory Committee	Mgmt	For	For	For
3	Approve Audited Financial Report	Mgmt	For	For	For
4	Approve Annual Reports and Its Summary	Mgmt	For	For	For
5	Approve Profit Distribution Plan	Mgmt	For	For	For
6	Approve Ernst & Young Hua Ming LLP as Sole External Auditor and Internal Control Audit Institution and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
7	Approve Provision of Guarantees by the Group	Mgmt	For	Against	Against
8	Approve Estimated Cap of Ordinary Connected Transactions	Mgmt	For	For	For
9	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Additional H Shares	Mgmt	For	Against	Against
10	Approve Issuance by BYD Electronic (International) Company Limited of Equity or Equity-Linked Securities without Preemptive Rights	Mgmt	For	Against	Against
11	Approve Authorization to the Board to Determine the Proposed Plan for the Issuance of Debt Financing Instrument(s)	Mgmt	For	Against	Against
12	Approve Purchase of Liability Insurance for Directors, Supervisors, Senior Management and Other Related Persons and Authorize the Chairman or Its Authorized Persons to Handle All Related Matters	Mgmt	For	For	For
13	Approve Compliance Manual in Relation to Connected Transaction	Mgmt	For	For	For

### **Exact Sciences Corporation**

Meeting Date: 06/08/2023 Record Date: 04/13/2023 **Country:** USA **Meeting Type:** Annual Ticker: EXAS

Primary Security ID: 30063P105

Shares Voted: 2,100

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director D. Scott Coward	Mgmt	For	For	For
1b	Elect Director James Doyle	Mgmt	For	For	For
1c	Elect Director Freda Lewis-Hall	Mgmt	For	For	For
1d	Elect Director Kathleen Sebelius	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Declassify the Board of Directors	Mgmt	For	For	For
6	Amend Omnibus Stock Plan	Mgmt	For	For	For

# Regeneron Pharmaceuticals, Inc.

Meeting Date: 06/09/2023 Record Date: 04/11/2023 Country: USA

Meeting Type: Annual

Primary Security ID: 75886F107

Ticker: REGN

Shares Voted: 956

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Joseph L. Goldstein	Mgmt	For	Against	Against
1b	Elect Director Christine A. Poon	Mgmt	For	Against	Against
1c	Elect Director Craig B. Thompson	Mgmt	For	For	For
1d	Elect Director Huda Y. Zoghbi	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
5	Report on Impact of Extended Patent Exclusivities on Product Access	SH	Against	Against	Against

### **Delta Electronics, Inc.**

Meeting Date: 06/13/2023
Record Date: 04/14/2023

**Country:** Taiwan **Meeting Type:** Annual

Ticker: 2308

**Primary Security ID:** Y20263102

Shares Voted: 15,300

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report and Financial Statements	Mgmt	For	For	For
2	Approve Plan on Profit Distribution	Mgmt	For	For	For
3	Approve Amendments to Articles of Association	Mgmt	For	For	For
4	Approve Amendments to Rules and Procedures Regarding Shareholder's General Meeting	Mgmt	For	For	For
5	Approve Amendment to Rules and Procedures for Election of Directors	Mgmt	For	For	For
6	Approve Release of Restrictions of Competitive Activities of Directors	Mgmt	For	For	For

### **Arista Networks, Inc.**

**Meeting Date:** 06/14/2023 **Record Date:** 04/20/2023

Country: USA
Meeting Type: Annual

Ticker: ANET

Primary Security ID: 040413106

Shares Voted: 600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Lewis Chew	Mgmt	For	Withhold	Withhold
1.2	Elect Director Douglas Merritt *Withdrawn Resolution*	Mgmt			
1.3	Elect Director Mark B. Templeton	Mgmt	For	Withhold	Withhold
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

### **Incyte Corporation**

**Meeting Date:** 06/14/2023 **Record Date:** 04/18/2023

Country: USA
Meeting Type: Annual

Ticker: INCY

Primary Security ID: 45337C102

Shares Voted: 6,962

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Julian C. Baker	Mgmt	For	For	For
1.2	Elect Director Jean-Jacques Bienaime	Mgmt	For	For	For
1.3	Elect Director Otis W. Brawley	Mgmt	For	For	For

# **Incyte Corporation**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1.4	Elect Director Paul J. Clancy	Mgmt	For	For	For	
1.5	Elect Director Jacqualyn A. Fouse	Mgmt	For	For	For	
1.6	Elect Director Edmund P. Harrigan	Mgmt	For	For	For	
1.7	Elect Director Katherine A. High	Mgmt	For	For	For	
1.8	Elect Director Herve Hoppenot	Mgmt	For	For	For	
1.9	Elect Director Susanne Schaffert	Mgmt	For	For	For	
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For	
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year	
4	Amend Omnibus Stock Plan	Mgmt	For	For	For	
5	Amend Qualified Employee Stock Purchase Plan	Mgmt	For	For	For	
6	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For	

### **Generac Holdings Inc.**

**Meeting Date:** 06/15/2023 **Record Date:** 04/17/2023

Country: USA

Meeting Type: Annual

Primary Security ID: 368736104

Ticker: GNRC

Shares Voted: 2,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Marcia J. Avedon	Mgmt	For	For	For
1.2	Elect Director Bennett J. Morgan	Mgmt	For	For	For
1.3	Elect Director Dominick P. Zarcone	Mgmt	For	For	For
2	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
4	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year

### **IBIDEN Co., Ltd.**

**Meeting Date:** 06/15/2023 **Record Date:** 03/31/2023

**Country:** Japan **Meeting Type:** Annual Ticker: 4062

Primary Security ID: J23059116

Shares Voted: 6,500

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Aoki, Takeshi	Mgmt	For	For	For
1.2	Elect Director Ikuta, Masahiko	Mgmt	For	For	For
1.3	Elect Director Kawashima, Koji	Mgmt	For	For	For
1.4	Elect Director Suzuki, Ayumi	Mgmt	For	For	For
1.5	Elect Director Yamaguchi, Chiaki	Mgmt	For	For	For
1.6	Elect Director Mita, Toshio	Mgmt	For	For	For
1.7	Elect Director Asai, Noriko	Mgmt	For	For	For
2.1	Elect Director and Audit Committee Member Kuwayama, Yoichi	Mgmt	For	For	For
2.2	Elect Director and Audit Committee Member Matsubayashi, Koji	Mgmt	For	For	For
2.3	Elect Director and Audit Committee Member Kato, Fumio	Mgmt	For	For	For
2.4	Elect Director and Audit Committee Member Horie, Masaki	Mgmt	For	For	For
2.5	Elect Director and Audit Committee Member Yabu, Yukiko	Mgmt	For	For	For
3	Elect Alternate Director and Audit Committee Member Komori, Shogo	Mgmt	For	For	For

# Marvell Technology, Inc.

Meeting Date: 06/16/2023

Record Date: 04/21/2023

**Country:** USA **Meeting Type:** Annual Ticker: MRVL

Primary Security ID: 573874104

Shares Voted: 5,941

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Sara Andrews	Mgmt	For	For	For
1b	Elect Director W. Tudor Brown	Mgmt	For	For	For
1c	Elect Director Brad W. Buss	Mgmt	For	For	For
1d	Elect Director Rebecca W. House	Mgmt	For	For	For
1e	Elect Director Marachel L. Knight	Mgmt	For	For	For
1f	Elect Director Matthew J. Murphy	Mgmt	For	For	For
1g	Elect Director Michael G. Strachan	Mgmt	For	For	For
1h	Elect Director Robert E. Switz	Mgmt	For	For	For
1i	Elect Director Ford Tamer	Mgmt	For	For	For

# Marvell Technology, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

### **GlobalWafers Co., Ltd.**

Meeting Date: 06/20/2023 Record Date: 04/21/2023 **Country:** Taiwan **Meeting Type:** Annual

Ticker: 6488

**Primary Security ID:** Y2722U109

Shares Voted: 13,400

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Business Operations Report, Financial Statements and Profit Distribution	Mgmt	For	For	For
2	Amend Procedures for Lending Funds to Other Parties	Mgmt	For	For	For
3	Amend Procedures Governing the Acquisition or Disposal of Assets	Mgmt	For	For	For
4	Approve Issuance of Shares Through Public Offering to Fund Working Capital	Mgmt	For	For	For
	ELECT INDEPENDENT DIRECTOR VIA CUMULATIVE VOTING	Mgmt			
5.1	Elect Ta Hsien Lo, with ID NO.N100294XXX, as Independent Director	Mgmt	For	For	For
6	Approve Release of Restrictions on Competitive Activities of Newly Appointed Director	Mgmt	For	Against	Against

### Xinjiang Goldwind Science & Technology Co., Ltd.

Meeting Date: 06/20/2023 Record Date: 05/19/2023 Country: China
Meeting Type: Annual

Ticker: 2208

**Primary Security ID:** Y97237112

Shares Voted: 197,155

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Proposal			Mgmt	Policy	Vote	
Number	Proposal Text	Proponent	Rec	Rec	Instruction	

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# **Xinjiang Goldwind Science & Technology Co., Ltd.**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Issuance of Bonds and Asset-Backed Securities Inside or Outside the PRC	Mgmt	For	For	For
2	Approve Change of Company Name	Mgmt	For	For	For
3	Amend Articles of Association	Mgmt	For	For	For
4	Approve Report of the Board of Directors	Mgmt	For	For	For
5	Approve Report of the Supervisory Committee	Mgmt	For	For	For
6	Approve Report of the Auditors and Audited Consolidated Financial Statements	Mgmt	For	For	For
7	Approve Final Dividend Distribution	Mgmt	For	For	For
8	Approve Annual Report	Mgmt	For	For	For
9	Approve Proposed Operation of Exchange Rate Hedging Business	Mgmt	For	For	For
10	Approve Provision of New Guarantees by the Company for its Subsidiaries	Mgmt	For	For	For
11	Approve Provision of Letter of Guarantee by the Company for the Benefit of Its Subsidiaries	Mgmt	For	For	For
12	Approve Deloitte Touche Tohmatsu Certified Public Accountants LLP as PRC Auditor and Deloitte Touche Tohmatsu as International Auditor and Authorize Board to Fix Their Remuneration	Mgmt	For	For	For
13	Approve Provision of Parent Company Guarantee Based on Shareholding Ratio for the Refinancing of the White Rock Wind Farm Project in Australia	Mgmt	For	For	For
14	Elect Yang Liying as Director	SH	For	For	For

#### Ambarella, Inc.

**Meeting Date:** 06/21/2023 **Record Date:** 04/14/2023

**Country:** Cayman Islands **Meeting Type:** Annual

Primary Security ID: G037AX101

Ticker: AMBA

Shares Voted: 4,684

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Leslie Kohn	Mgmt	For	For	For
1.2	Elect Director D. Jeffrey Richardson	Mgmt	For	For	For
1.3	Elect Director Elizabeth M. Schwarting	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For

# Ambarella, Inc.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Policy Rec	Vote Instruction
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

#### Hitachi Zosen Corp.

**Meeting Date:** 06/21/2023 **Record Date:** 03/31/2023

Country: Japan
Meeting Type: Annual

Ticker: 7004

Primary Security ID: J20790101

Shares Voted: 52,020

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Approve Allocation of Income, With a Final Dividend of JPY 18	Mgmt	For	For	For
2.1	Elect Director Mino, Sadao	Mgmt	For	For	For
2.2	Elect Director Kamaya, Tatsuji	Mgmt	For	For	For
2.3	Elect Director Shibayama, Tadashi	Mgmt	For	For	For
2.4	Elect Director Kuwahara, Michi	Mgmt	For	For	For
2.5	Elect Director Richard R. Lury	Mgmt	For	For	For
2.6	Elect Director Shoji, Tetsuya	Mgmt	For	For	For
2.7	Elect Director Sakata, Shinoi	Mgmt	For	For	For
2.8	Elect Director Horiguchi, Akiko	Mgmt	For	For	For
3	Appoint Statutory Auditor Araki, Makoto	Mgmt	For	For	For

### **Ouster, Inc.**

**Meeting Date:** 06/22/2023 **Record Date:** 04/27/2023

Country: USA
Meeting Type: Annual

Primary Security ID: 68989M202

Ticker: OUST

Shares Voted: 2,092

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Virginia Boulet	Mgmt	For	Withhold	Withhold
1.2	Elect Director Riaz Valani	Mgmt	For	For	For
2	Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
3	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For

#### ams-OSRAM AG

**Meeting Date:** 06/23/2023 **Record Date:** 06/13/2023

Country: Austria
Meeting Type: Annual

Ticker: AMS

Primary Security ID: A0400Q115

Shares Voted: 9,342

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1	Receive Financial Statements and Statutory Reports for Fiscal Year 2022 (Non-Voting)	Mgmt				
2	Approve Discharge of Management Board for Fiscal Year 2022	Mgmt	For	For	For	
3	Approve Discharge of Supervisory Board for Fiscal Year 2022	Mgmt	For	For	For	
4	Ratify KPMG Austria GmbH as Auditors for Fiscal Year 2023	Mgmt	For	For	For	
5	Approve Remuneration Policy	Mgmt	For	For	For	
6	Approve Remuneration Report	Mgmt	For	For	For	
7.1	Elect Yen Yen Tan as Supervisory Board Member	Mgmt	For	For	For	
7.2	Elect Andreas Mattes as Supervisory Board Member	Mgmt	For	For	For	
8	Approve Cancellation of Authorized Capital 2021	Mgmt	For	For	For	
9	Approve Issuance of Warrants/Bonds with Warrants Attached/Convertible Bonds without Preemptive Rights up to Aggregate Nominal Amount of EUR 27.4 Million	Mgmt	For	For	For	
10	Approve Creation of EUR 27.4 Million Pool of Conditional Capital to Guarantee Conversion Rights	Mgmt	For	For	For	
11	Authorize Share Repurchase Program and Reissuance or Cancellation of Repurchased Shares	Mgmt	For	For	For	
12	Approve Virtual-Only Shareholder Meetings Until 2026	Mgmt	For	For	For	

### Daifuku Co., Ltd.

Meeting Date: 06/23/2023 Record Date: 03/31/2023 Country: Japan
Meeting Type: Annual

Ticker: 6383

Primary Security ID: J08988107

Shares Voted: 3,200

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1	Amend Articles to Make Technical Changes	Mgmt	For	For	For
2.1	Elect Director Geshiro, Hiroshi	Mgmt	For	For	For

# Daifuku Co., Ltd.

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
2.2	Elect Director Sato, Seiji	Mgmt	For	For	For	
2.3	Elect Director Hayashi, Toshiaki	Mgmt	For	For	For	
2.4	Elect Director Nobuta, Hiroshi	Mgmt	For	For	For	
2.5	Elect Director Takubo, Hideaki	Mgmt	For	For	For	
2.6	Elect Director Ozawa, Yoshiaki	Mgmt	For	For	For	
2.7	Elect Director Sakai, Mineo	Mgmt	For	For	For	
2.8	Elect Director Kato, Kaku	Mgmt	For	For	For	
2.9	Elect Director Kaneko, Keiko	Mgmt	For	For	For	
2.10	Elect Director Gideon Franklin	Mgmt	For	For	For	
3	Appoint Statutory Auditor Wada, Nobuo	Mgmt	For	For	For	

### **Biogen Inc.**

Meeting Date: 06/26/2023 **Record Date:** 04/20/2023

Country: USA

Meeting Type: Annual

Ticker: BIIB

Primary Security ID: 09062X103

Shares Voted: 300

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Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
Elect Director Alexander J. Denner - Withdrawn	Mgmt			
Elect Director Caroline D. Dorsa	Mgmt	For	For	For
Elect Director Maria C. Freire	Mgmt	For	Against	Against
Elect Director William A. Hawkins	Mgmt	For	Against	Against
Elect Director William D. Jones- Withdrawn	Mgmt			
Elect Director Jesus B. Mantas	Mgmt	For	For	For
Elect Director Richard C. Mulligan- Withdrawn	Mgmt			
Elect Director Eric K. Rowinsky	Mgmt	For	For	For
Elect Director Stephen A. Sherwin	Mgmt	For	For	For
Elect Director Christopher A. Viehbacher	Mgmt	For	For	For
Ratify PricewaterhouseCoopers LLP as Auditors	Mgmt	For	For	For
Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	Against	Against
Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
Elect Director Susan K. Langer	Mgmt	For	For	For
	Elect Director Alexander J. Denner - Withdrawn  Elect Director Caroline D. Dorsa  Elect Director Maria C. Freire  Elect Director William A. Hawkins  Elect Director William D. Jones- Withdrawn  Elect Director Jesus B. Mantas  Elect Director Richard C. Mulligan- Withdrawn  Elect Director Eric K. Rowinsky  Elect Director Stephen A. Sherwin  Elect Director Christopher A. Viehbacher  Ratify PricewaterhouseCoopers LLP as Auditors  Advisory Vote to Ratify Named Executive Officers' Compensation  Advisory Vote on Say on Pay Frequency	Elect Director Alexander J. Denner - Mgmt Withdrawn  Elect Director Caroline D. Dorsa Mgmt  Elect Director Maria C. Freire Mgmt  Elect Director William A. Hawkins Mgmt  Elect Director William D. Jones- Mgmt Withdrawn  Elect Director Jesus B. Mantas Mgmt  Elect Director Richard C. Mulligan- Mgmt Withdrawn  Elect Director Eric K. Rowinsky Mgmt  Elect Director Stephen A. Sherwin Mgmt  Elect Director Christopher A. Mgmt Viehbacher  Ratify PricewaterhouseCoopers LLP as Auditors  Advisory Vote to Ratify Named Mgmt Executive Officers' Compensation  Advisory Vote on Say on Pay Mgmt Frequency	Elect Director Alexander J. Denner - Withdrawn  Elect Director Caroline D. Dorsa Mgmt For  Elect Director Maria C. Freire Mgmt For  Elect Director William A. Hawkins Mgmt For  Elect Director William D. Jones- Withdrawn  Elect Director Jesus B. Mantas Mgmt For  Elect Director Richard C. Mulligan- Withdrawn  Elect Director Eric K. Rowinsky Mgmt For  Elect Director Stephen A. Sherwin Mgmt For  Elect Director Christopher A. Viehbacher  Ratify PricewaterhouseCoopers LLP as Auditors  Advisory Vote to Ratify Named Executive Officers' Compensation  Advisory Vote on Say on Pay Frequency  Mgmt One Year	Proposal Text   Proposent   Rec   Rec   Rec   Rec

### **Panasonic Holdings Corp.**

Meeting Date: 06/26/2023 **Record Date:** 03/31/2023

Primary Security ID: J6354Y104

Country: Japan

Meeting Type: Annual

Ticker: 6752

Shares Voted: 62,155

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Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction	
1.1	Elect Director Tsuga, Kazuhiro	Mgmt	For	For	For	
1.2	Elect Director Kusumi, Yuki	Mgmt	For	For	For	
1.3	Elect Director Homma, Tetsuro	Mgmt	For	For	For	
1.4	Elect Director Sato, Mototsugu	Mgmt	For	For	For	
1.5	Elect Director Umeda, Hirokazu	Mgmt	For	For	For	
1.6	Elect Director Matsui, Shinobu	Mgmt	For	For	For	
1.7	Elect Director Noji, Kunio	Mgmt	For	For	For	
1.8	Elect Director Sawada, Michitaka	Mgmt	For	For	For	
1.9	Elect Director Toyama, Kazuhiko	Mgmt	For	For	For	
1.10	Elect Director Tsutsui, Yoshinobu	Mgmt	For	For	For	
1.11	Elect Director Miyabe, Yoshiyuki	Mgmt	For	For	For	
1.12	Elect Director Shotoku, Ayako	Mgmt	For	For	For	
1.13	Elect Director Nishiyama, Keita	Mgmt	For	For	For	
2	Appoint Statutory Auditor Baba, Hidetoshi	Mgmt	For	For	For	
3	Approve Restricted Stock Plan	Mgmt	For	For	For	
4	Approve Compensation Ceiling for Statutory Auditors	Mgmt	For	For	For	

### **United Therapeutics Corporation**

Meeting Date: 06/26/2023 **Record Date:** 04/27/2023

Country: USA Meeting Type: Annual

Primary Security ID: 91307C102

Ticker: UTHR

Shares Voted: 1,400

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Christopher Causey	Mgmt	For	For	For
1b	Elect Director Raymond Dwek	Mgmt	For	For	For
1c	Elect Director Richard Giltner	Mgmt	For	For	For
1d	Elect Director Katherine Klein	Mgmt	For	For	For
1e	Elect Director Ray Kurzweil	Mgmt	For	For	For
1f	Elect Director Linda Maxwell	Mgmt	For	For	For

# **United Therapeutics Corporation**

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1g	Elect Director Nilda Mesa	Mgmt	For	For	For
1h	Elect Director Judy Olian	Mgmt	For	For	For
<b>1</b> i	Elect Director Christopher Patusky	Mgmt	For	For	For
1j	Elect Director Martine Rothblatt	Mgmt	For	For	For
1k	Elect Director Louis Sullivan	Mgmt	For	For	For
11	Elect Director Tommy Thompson	Mgmt	For	For	For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
3	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
4	Amend Omnibus Stock Plan	Mgmt	For	For	For
5	Ratify Ernst & Young LLP as Auditors	Mgmt	For	For	For

### **Plug Power Inc.**

Meeting Date: 06/27/2023 Record Date: 04/28/2023 Country: USA
Meeting Type: Annual

**Primary Security ID:** 72919P202

Ticker: PLUG

Shares Voted: 18,600

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1a	Elect Director Jonathan M. Silver	Mgmt	For	Withhold	Withhold
1b	Elect Director Kyungyeol Song	Mgmt	For	Withhold	Withhold
2	Amend Omnibus Stock Plan	Mgmt	For	For	For
3	Approve Qualified Employee Stock Purchase Plan	Mgmt	For	For	For
4	Advisory Vote to Ratify Named Executive Officers' Compensation	Mgmt	For	For	For
5	Advisory Vote on Say on Pay Frequency	Mgmt	One Year	One Year	One Year
6	Ratify Deloitte & Touche LLP as Auditors	Mgmt	For	For	For

#### **Canadian Solar Inc.**

Meeting Date: 06/28/2023
Record Date: 05/05/2023
Primary Security ID: 136635109

**Country:** Canada **Meeting Type:** Annual Ticker: CSIQ

Shares Voted: 3,000

Proposal Number	Proposal Text	Proponent	Mgmt Rec	Voting Policy Rec	Vote Instruction
1.1	Elect Director Shawn (Xiaohua) Qu	Mgmt	For	For	For
1.2	Elect Director Harry E. Ruda	Mgmt	For	For	For
1.3	Elect Director Lauren C. Templeton	Mgmt	For	For	For
1.4	Elect Director Andrew (Luen Cheung) Wong	Mgmt	For	For	For
1.5	Elect Director Lap Tat Arthur Wong	Mgmt	For	For	For
1.6	Elect Director Leslie Li Hsien Chang	Mgmt	For	For	For
1.7	Elect Director Yan Zhuang	Mgmt	For	For	For
1.8	Elect Director Huifeng Chang	Mgmt	For	For	For
2	Ratify Deloitte Touche Tohmatsu Certified Public Accountants LLP as Auditors	Mgmt	For	For	For



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